

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 June 2001 (21.06.2001)

PCT

(10) International Publication Number  
WO 01/43529 A2

- (51) International Patent Classification: Not classified
- (21) International Application Number: PCT/US00/34037
- (22) International Filing Date:  
15 December 2000 (15.12.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/172,317 17 December 1999 (17.12.1999) US
- (71) Applicant: INHALE THERAPEUTIC SYSTEMS, INC. [US/US]; 150 Industrial Road, San Carlos, CA 94070 (US).
- (72) Inventors: PABOOJIAN, Steve; 2133 Avy Avenue, Menlo Park, CA 94025 (US). SCHULER, Carlos; 22305 Carta Blanca St., Cupertino, CA 95014 (US). CLARK, Andrew; 436 Valdez Avenue, Half Moon Bay, CA 94019 (US).
- (74) Agents: GIBBY, Darin, J. et al.; Townsend Townsend and Crew LLP, Two Embarcadero Center, Eighth floor, San Francisco, CA 94111 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— With declaration under Article 17(2)(a); without classification and without abstract; title not checked by the International Searching Authority.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/43529 A2

(54) Title: RECEPTACLES TO FACILITATE THE EXTRACTION OF POWDERS

(57) Abstract:

## RECEPTACLES TO FACILITATE THE EXTRACTION OF POWDERS

### CROSS REFERENCE TO RELATED APPLICATIONS

5           This application is a continuation in part application and claims the benefit of U.S. Provisional Patent Application No. 60/172,317, filed December 17, 1999, the complete disclosure of which is herein incorporated by reference.

### BACKGROUND OF THE INVENTION

10           This invention relates generally to the field of drug delivery, and in particular to the pulmonary delivery of powdered medicaments. More specifically, the invention relates to techniques for extracting powdered medicaments from receptacles during the aerosolizing process.

          One promising way to deliver various drugs to a patient is by pulmonary  
15   delivery where a drug dispersion or aerosol is inhaled by the patient to permit the active drug within the dispersion to reach the distal or alveolar regions of the lung. Pulmonary drug delivery has shown to be particularly promising because certain drugs have been found to readily absorb within the blood circulation. For example, pulmonary delivery may be a useful approach for proteins and polypeptides that are difficult to deliver by  
20   other routes of administration.

          A variety of techniques have been employed to deliver drugs to the lungs including liquid nebulizers, metered dose inhalers, and the like. Of particular interest to the invention are dry powder dispersion devices that are able to aerosolize powdered medicaments for inhalation by the patient. Exemplary apparatus for aerosolizing  
25   powdered medicaments are described in U.S. Patent Nos. 5,458,135, 5,775,320, 5,740,794 and 5,785,049, and copending U.S. patent application serial nos. 09/004,558, filed January 8, 1998, 09/312,434, filed June 4, 1999, 60/136,518, filed May 28, 1999, and 60/141,793, filed June 30, 1999, the complete disclosures of which are herein incorporated by reference.

30           At least some of the apparatus described in the above references utilize a high pressure gas stream to draw the powder into an extraction tube where the powder is deagglomerated, entrained in the high pressure gas stream, and exits as an aerosol suitable for inhalation. In some cases, such apparatus may utilize a receptacle that has a

penetrable lid. The extraction tube is inserted through the lid and a vent is also formed in the lid. The high pressure gas stream then draws air through the receptacle and into the extraction tube. The air drawn through the receptacle extracts the powder where it joins with the high pressure gas stream to form the aerosol.

- 5                    This invention is related to alternative ways to extract powder from receptacles that store the powder. The invention also relates to the design of such receptacles to facilitate removal of the powder.

### SUMMARY OF THE INVENTION

- 10                    In one embodiment, the invention provides an exemplary receptacle for holding fine powders. The receptacle comprises a receptacle body that defines an enclosed cavity for holding the powder. The receptacle body has a top end and a bottom end, and the cavity is configured to facilitate extraction of substantially all of the powder when air or another gas is drawn through the cavity. For example, in one aspect, the
- 15                    bottom end of the receptacle body includes a raised central region that extends upwardly into the cavity. Such a configuration is advantageous in that it permits the flow of air to remain adjacent to an inner wall of the cavity until being drawn into an extraction tube. In this way, a generally laminar flow of air is provided across the walls of the cavity until reaching the extraction tube to provide a generally uniform shear stress across the walls.
- 20                    In this manner, the flow of air serves as a "scrubber" to remove powder from the walls where it may be drawn into the extraction tube.

- In one aspect, the curved interior wall in combination with the raised central region forms a generally semi-toroidal geometry in the cavity. With such a configuration, as the air is drawn through the cavity, it adheres to the walls and flows
- 25                    smoothly up the raised central region and into the extraction tube. Alternatively, the walls may be curved to form a "bow tie" configuration. Conveniently, a portion of the bottom end of the receptacle may be flat in geometry to facilitate convenient resting of the receptacle on a flat surface and accurate vertical positioning of the receptacle. In another aspect, the receptacle body may comprise a pair of opposing curved walls and a
- 30                    pair of opposing generally planar walls that at least partially form the cavity. Conveniently, the receptacle body may further include a tab that extends from the cavity to facilitate handling of the receptacle, e.g., such as when inserting the receptacle into an aerosolizing device.

The invention further provides an exemplary method for aerosolizing a powdered medicament. The method utilizes a receptacle having an enclosed cavity for holding the powder. The receptacle further includes a raised central region that extends upwardly into the cavity. According to the method, a bottom end of an extraction tube is  
5 inserted into the cavity such that the bottom end of the extraction tube is aligned with the raised central region and is spaced above the bottom end of the receptacle. Vents are formed in the top end of the receptacle about a periphery of a cavity, and a gas stream is flowed through at least a portion of the extraction tube to draw air through the vents and then through the cavity to move the powder in the cavity into the extraction tube where  
10 the powder is entrained in the high pressure gas stream to form an aerosol. Use of the raised central region is advantageous in that it channels or funnels the air toward the extraction tube to prevent powder from remaining in the center of the cavity.

In one aspect, the receptacle has one or more curved interior walls. In this way, the air flows along the wall along substantially the entire length of the cavity to  
15 remove substantially all powder from the receptacle. By providing a wall with a continuous curvature, the momentum of the flowing air is changed which causes the air flow to stay adjacent the wall, causing powder adjacent the wall to be drawn into the extraction tube.

In another aspect, the air drawn by the gas stream flows through a flow  
20 area that becomes progressively smaller. In this way, the air is accelerated as it flows through the receptacle and into the extraction tube to further assist in removing substantially all of the powder from the receptacle.

In still another aspect, a hole is pierced through the top end of the receptacle to permit the extraction tube to be inserted into the cavity through the hole in  
25 the top end. In one option, the gas stream may be introduced into the extraction tube at a location spaced apart from the bottom end of the extraction tube. Further, the gas stream may be introduced at an acute angle relative to a central axis of the extraction tube. In this way, the area of flow through the receptacle and the extraction tube may be controlled to accelerate the air through the receptacle. Alternatively, a hole may be  
30 formed in the bottom end of the receptacle body, and the gas stream may be flowed through the hole in the bottom end and then through the extraction tube, with outside air being drawn into the cavity through one or more vents to move the powder into the extraction tube. In another aspect, a flow insert may be provided to control spacing of the extraction tube relative to the receptacle. In this way, the area of flow through the

receptacle and the extraction tube may be controlled to accelerate the air through the receptacle.

In one alternative, an amount of pressurized gas is released to produce a high pressure gas stream that is utilized to extract the powder from the receptacle.

- 5 Alternatively, the gas stream may be produced by the patient's own inhalation, i.e. breath actuation.

In another embodiment, the invention provides a method for aerosolizing a powdered medicament that utilizes a receptacle having an enclosed cavity for holding the powder. An extraction tube is inserted into the cavity such that a bottom end of the  
10 extraction tube is spaced above the bottom end of the receptacle. Vents are formed in the top end of the receptacle and a high pressure gas stream is flowed through at least a portion of the extraction tube to draw air through the vents and then into the cavity to remove the powder. Alternatively, a gas stream created by the patient's own inhalation may be flowed through the extraction tube to remove the powder. As the air is drawn  
15 through the receptacle and then into the extraction tube, the area of flow is progressively reduced to accelerate the flow of air through the receptacle.

For example, in one aspect, the vents may form a first flow area, and a gap between the extraction tube and the bottom end of the receptacle may define a second flow area. Further, a cross-section of the extraction tube may define a third flow area.  
20 The first flow area is constructed to be larger than the second flow area, and the second flow area is constructed to be larger than the third flow area. Conveniently, the receptacle may include a curved interior wall and a raised central region that extends upwardly into the cavity so that a generally laminar flow of air may be produced along the wall in a manner similar to that previously described.

25 The invention further provides an exemplary method for aerosolizing a powder that utilizes a receptacle having a cavity that contains the powder. A bottom end of an extraction tube is inserted into the cavity such that the bottom end of the extraction tube is spaced above the bottom end of the receptacle. At least one vent is formed in the cavity, and a hole is formed in the bottom of the cavity such that the hole is generally  
30 aligned with the bottom end of the extraction tube. A gas stream is then flowed through the hole in the bottom end of the receptacle and then through the extraction tube to cause air to be drawn through the vent and then through the cavity to move powder in the cavity into the extraction tube where the powder is entrained in the gas stream to form an aerosol.

In one aspect, the aerosolized powder is captured in a capture chamber where it is available for inhalation by a patient. The method may utilize various features described in connection with other embodiments of the invention. For example, the receptacle may include a raised central region that extends upwardly into the cavity and is generally aligned with the extraction tube. Further, multiple vents may be formed in the top of the receptacle about a periphery of a cavity to draw air into substantially all of the cavity. Tabs or foils may also be formed in the top of the receptacle that extend into the cavity to create a vortex within the cavity as the air flows through the cavity. Conveniently, the gas stream may be produced by releasing an amount of gas from a pressured gas source, or may be produced by the patient's own inhalation.

In another embodiment, an apparatus is provided for aerosolizing a powdered medicament. The apparatus comprises a housing having a holder for holding a receptacle having a cavity that contains the powder. A piercing mechanism is provided to pierce a hole in a bottom end of the receptacle, and a vent forming mechanism is provided to form multiple vents in the top of the receptacle. An extraction tube is further provided and may be placed into the cavity so as to be spaced above the bottom end of the receptacle and to be aligned with the hole in the bottom end. A pressure source or the patient's own inhalation may be utilized to produce a gas stream that is flowed through the hole in the bottom end of the receptacle and into the extraction tube. In so doing, outside air is drawn into the cavity and moves the powder from the cavity and into the extraction tube where the powder is entrained in the gas stream to form an aerosol. Conveniently, the vent forming mechanism may be configured to produce multiple vents that are spaced about a periphery of the receptacle.

In a further embodiment, a system is provided for aerosolizing a powdered medicament. The system comprises at least one receptacle that defines an enclosed cavity. The receptacle further includes a raised central region that extends upwardly into the cavity. An aerosolization apparatus is further provided having a holder for holding the receptacle. The aerosolizing apparatus further includes an extraction tube that is insertable into the cavity, a vent forming device to form multiple vents in the top end of the receptacle about a periphery of the cavity, and a pressure source for producing a high pressure gas stream within at least a portion of the extraction tube. Alternatively, the patient's own inhalation may be used to produce a gas stream. In this way, air may be drawn through the vents to move the powder from the cavity into the extraction tube where the powder is entrained in the gas stream to form an aerosol.

In one aspect, a flow insert may be provided to control spacing of the extraction tube relative to the receptacle. Further, the flow insert in combination with the vents and the extraction tube may be employed to accelerate the flow of air through the receptacle. For example, the vents may be configured to form a first flow area, and a gap  
5 between the extraction tube and the bottom end of the receptacle may define a second flow area. A cross-section of the extraction tube may define a third flow area. In this way, the holder may be configured to move the receptacle relative to the bottom end of the extraction tube, or vice versa, such that the first flow area is greater than the second flow area, and the second flow area is greater than the third flow area.

10 In still another aspect, curved tabs or foils may be preformed in the top end of the receptacle body to create a vortex within the cavity as the air flows through the cavity. In this way, removal of substantially all the powder from the receptacle is facilitated. In another aspect, a portion of the bottom end of the receptacle may be flat in geometry to facilitate its placement onto the holder.

15 In one alternative aspect, the receptacle may have a preformed central hole and vents about the periphery of the cavity. A cover may be removably attached to the top end of the receptacle. In this way, after the receptacle has been inserted into the aerosolizing apparatus, the cover may be pulled from the receptacle to permit the extraction tube to be inserted into the central hole and to expose the vents.

## 20 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a top view of one embodiment of a receptacle for holding a powder according to the invention.

25 Fig. 2 is a cross sectional side view of the receptacle of Fig. 1, taken along lines 2-2.

Fig. 3 is a perspective view of the receptacle of Fig. 1 showing vents formed in a top end and an extraction tube that has been inserted into the top end according to one embodiment of the invention.

30 Fig. 4 is a schematic side view of an exemplary method for extracting powder from the receptacle of Fig. 3 according to the invention.

Fig. 5 is a partial top view of another embodiment of a receptacle having curved tabs or foils to produce a vortex in the receptacle when extracting powder according to the invention.



Fig. 6 is a partial cross sectional side view of one of the tabs of the receptacle of Fig. 5.

Fig. 7 is a top view of an alternative embodiment of a receptacle according to the invention.

5 Fig. 8A is a cross sectional side view of the receptacle of Fig. 7 taken along lines A-A.

Fig. 8B is a cross sectional side view of the receptacle of Fig. 7 taken along lines B-B.

10 Fig. 9 is a perspective view of a receptacle into which an extraction tube is inserted and illustrates the various flow areas through which the air flows when extracting the powder from the receptacle according to the invention.

Fig. 10 is a schematic side view of one embodiment of an aerosolizing device that may be employed to aerosolize a powder according to the invention.

15 Fig. 11 is a schematic side view of a receptacle and an extraction tube and illustrates an alternative technique for extracting the powder according to the invention.

Fig. 12 is a schematic side view of an alternative embodiment of an aerosolizing device according to the invention.

Fig. 13 is a top view of still another alternative embodiment of a receptacle having a removable cover according to the invention.

20 Fig. 14 is a cross sectional side view of the receptacle of Fig. 13.

Fig. 15 is a schematic side view of a breath actuated aerosolizing device according to the invention.

#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

25 The invention provides exemplary techniques and equipment for extracting powder that is held within a receptacle, typically within a sealed cavity. In one aspect, the powder extracted is entrained in a high pressure gas stream to aerosolize the powder so that it will be suitable for inhalation by a patient. The invention may be utilized with essentially any type of receptacle within which the powder is sealed. Merely by way of  
30 example, one type of receptacle that may be utilized with the invention are widely available "blister packs". Examples of other types of receptacles are described in U.S. Patent No. 5,740,794, previously incorporated by reference. However, it will be appreciated that the invention is not intended to be limited to these specific types of receptacles.

The powders of the invention may be extracted by creating an opening or access way into the receptacle and then flowing air or other gases through the receptacle to move the powder out of the access way. Conveniently, one or more vents may also be created in the receptacle to facilitate the flow of air through the receptacle. One  
5 exemplary way to draw air through the receptacle is by use of an extraction tube that is inserted into the cavity. A high pressure gas stream is flowed through at least a portion of the extraction tube to cause air in the receptacle to be drawn into the bottom end of the extraction tube where the powder is entrained in the high pressure gas stream to form an aerosol. Examples of techniques that employ the use of such an extraction tube are  
10 described in U.S. Patent No. 5,740,794, previously incorporated by reference. Further, a variety of techniques may be employed to create the high pressure gas stream to cause the air to be drawn through the receptacle. For example, various techniques for producing the high pressure gas stream are described in U.S. Patent No. 5,740,794 and copending U.S. Patent Application Serial Nos. 09/004,558, 09/312,434, 60/136,518 and 60/141,793,  
15 previously incorporated herein by reference. Gases that may be used to produce the gas stream include air, CO<sub>2</sub>, HFCs, CFCs, and the like.

To draw air through the receptacle and into the bottom end of the extraction tube, the high pressure gas stream may be introduced into the extraction tube at a location that is spaced apart from the bottom end. For example, the high pressure gas  
20 stream may be introduced into the extraction tube at an acute angle as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. Alternatively, a hole may be formed in the bottom end of the receptacle along with one or more vents, and the extraction tube inserted into the top end of the receptacle so that it is generally aligned with the hole. The high pressure gas stream may then be flowed through the hole and into  
25 the extraction tube to cause air to be drawn through the vents, through the receptacle and into the bottom end of the extraction tube.

Alternatively, the invention may utilize a patient's own inhalation to produce a gas stream. For example, the invention may utilize a mouthpiece over which the patient's mouth is placed. As the patient inhales, a vacuum is created to produce a gas  
30 stream that flows through the receptacle as described above.

A variety of schemes may be employed, alone or in combination, to facilitate the extraction of the powder using air flowing through the receptacle. For example, one technique employs the use of air or other gases to uniformly "scrub" the sides of the cavity. More specifically, the air may be flowed adjacent an interior wall or

walls until the air exits the receptacle through the extraction tube. In this way, a shear stress is provided along substantially the entire length of the interior wall to assist in removing any powder that adheres to the wall so that it may be moved into the extraction tube. The walls may be constructed with a variety of geometries to facilitate a laminar flow across the walls so that the flow will not become separated from the walls as it flows through the receptacle. In this way, a uniform, "scrubbing" of the walls is provided. Merely by way of example, one convenient way to form the walls is to provide them with a degree of curvature so that they continuously curve up to the extraction tube. Such a continuous curved surface allows for a laminar flow along substantially the entire length of the walls and up to the extraction tube. The curvature of the walls also tends to induce instabilities that are manifested as a plurality of counter-rotating vortices, sometimes referred to as Taylor-Goertler vortices, having axes of rotation locally parallel to the curved walls. These vortices serve to scrub the walls of powder. Conveniently, the receptacle may include a raised region at a center of the receptacle so that the walls slope up to the extraction tube. In this way, no dead space is provided in the middle of the receptacle and the flow remains adjacent to the walls until exiting through the extraction tube.

Another technique to facilitate removal of the powder is to accelerate the flow of air through the receptacle. One convenient way to accelerate the air flow is to progressively decrease the area through which the air passes as it flows through the receptacle and out of the extraction tube. By progressively reducing the flow area, the air is accelerated as it flows through the receptacle and into the extraction tube.

Still another technique for facilitating the removal of the powder is to create a vortex in the cavity to permit the air to sweep the sides of the receptacle as it spins through the cavity and up into the extraction tube. Conveniently, curved edges, tabs or foils may be formed in the top end of the receptacle to initiate the vortex when air is drawn into the receptacle.

Another feature of the invention is that the receptacles may be constructed to have preformed holes and/or vents. In this way, the top surface of the receptacle does not need to be pierced when inserting the extraction tube or forming the vents.

Conveniently, a removable cover may be placed on top of the receptacle. After insertion into an aerosolizing apparatus, the cover may be pulled from the receptacle to expose the holes and/or vents. The extraction tube may then be inserted into the receptacle and the powder extracted as described herein.

Referring now to Figs. 1 and 2, one embodiment of a receptacle 10 will be described. Receptacle 10 comprises a receptacle body 12 having a top end 14 and a bottom end 16 (see Fig. 2). Conveniently, a tab 18 may be provided to facilitate handling of receptacle 10. Receptacle body 12 defines a cavity 20 into which a powder is sealed.

5 Conveniently, receptacle body 12 may be constructed from essentially any type of material that is compatible with the powder held within cavity 20. Examples of materials that may be used include metals, such as aluminum, composites, plastics, and the like. One convenient way to construct receptacle 10 is to provide a thin strip of metal or composite and then pressing cavity 20 using a dye. Another thin strip of metal may then  
10 be attached to the strip having the cavity to enclose and seal the cavity. Conveniently, ultrasonic welding or heat sealings may be employed to adhere the two metal strips together. However, it will be appreciated that other techniques and materials may be employed to construct receptacle 10. Further, a number of receptacles may be formed as a single string for multi-dose aerosolizers.

15 Cavity 20 has a generally circular outer periphery 22 and is formed of a continuously curved wall 24 that forms a raised central region 26 at or near a center of the receptacle. In this way, a generally semi-toroidal interior is formed.

Referring now to Fig. 3, an extraction tube 28 is shown inserted into cavity 20. Extraction tube 28 has a bottom end 30 that is generally aligned with raised central  
20 region 26 when inserted into receptacle 10. Conveniently, bottom end 30 of extraction tube 28 may include a sharpened edge to facilitate its entry into cavity 20. Alternatively, a preformed hole may be formed in top end 14 to permit entry of extraction tube 28 into cavity 20. Bottom end 30 is positioned so that it is spaced apart from bottom end 16 of cavity 20. In this way, a gap is provided between bottom end 30 and bottom end 16 to  
25 permit air to flow between the gap. Also shown in Fig. 3 are a plurality of vents 32 that are formed about periphery 22. In one aspect, vents 32 may be formed such that they are spaced close to each other in an attempt to form an annulus about periphery 22. In this way, air may be introduced into cavity 20 about essentially the entire periphery 22.

Referring now to Fig. 4, one technique for extracting powder from  
30 receptacle 10 using extraction tube 28 will be described. A high pressure gas stream (not shown) is flowed past a portion of extraction tube 28 at a location spaced above bottom end 30 as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. This causes air to be drawn into receptacle 10 through vents 32 as illustrated by the arrows. The air is flowed through cavity 20 until entering bottom end 30 where it

proceeds through extraction tube 28. Eventually, the air containing the powder is joined with the high pressure gas stream that deagglomerates the powder and entrains the powder in the gas stream to form an aerosol.

As shown in Fig. 4, wall 24 has a continuous curvature so that the air flowing through cavity 20 remains generally adjacent wall 24 with a laminar flow. In this way, a shear stress is created along substantially the entire length of wall 24 to remove any adhered powder along wall 24. Further, central region 26 directs the air up to bottom end 30 so that essentially no dead volume exists within cavity 20. In this manner, substantially all the powder is drawn into extraction tube 30. It will be appreciated that the size of cavity 20 as well as the degree of curvature of wall 24 may be varied depending on a variety of features, including, for example, the volume and rate of air flow through cavity 20, the amount and type of powder held within cavity 20, and the like.

Referring now to Figs. 5 and 6, another embodiment of a receptacle 34 will be described. Receptacle 34 comprises a receptacle body 36 that forms a cavity 38 (shown in phantom line). Cavity 38 has a generally circular outer periphery 40 and may optionally include a raised central region as described in connection with other embodiments. Formed in a top end 42 of receptacle body 36 are a plurality of vents 44. A central hole 46 is also formed in top end 42 and is configured to receive an extraction tube. In this way, air may be drawn through vents 44, through cavity 38, and into the extraction tube where it is extracted from the receptacle in a manner similar to that previously described with other embodiments.

One feature of receptacle 34 is that it includes curved foils 48 at each of the vents 44. As best shown in Fig. 6, foils 48 extend into cavity 38 so as to produce a vortex within cavity 38 when air is drawn in through vents 44. This is illustrated generally by the arrows in Fig. 5. By creating a vortex within cavity 38, the air flow is swirled around the interior walls that define cavity 38 to assist in removing powder that is adhered to the walls. Advantageously, the vortex results in an acceleration of the air to further assist in removing powder from the receptacle. Further, large powder agglomerates within cavity 38 may be caught in the vortex and thrown radially outward because of centripetal acceleration coincident with their larger mass relative to smaller powder particles. In this way, the larger agglomerates are statistically more likely to strike the side of the tube to induce deagglomeration.

Figs. 7, 8A and 8B illustrate another embodiment of a receptacle 50. Receptacle 50 comprises a receptacle body 52 having a top end 54, a bottom end 56 and a

tab 58. Receptacle body 52 defines a cavity 60 into which a powder is held. Cavity 60 is defined by two side walls 62 and two end walls 64 to form a "bow tie" configuration. A raised central region 66 extends up into cavity 60 in a manner similar to raised central region 26 of receptacle 10.

5 To extract powder from receptacle 50, an extraction tube (not shown) may be inserted through top end 54 and aligned above raised central region 66 in a manner similar to that previously described in connection with receptacle 10. Vents may then be formed in top end 54 adjacent curved walls 64. In this manner, air will be drawn through the vents and along curved wall 64 where the air will be funneled by raised central region  
10 66 into the bottom end of the extraction tube. By providing curved walls 64, the air flow will tend to flow along the walls to assist in removing powder that adheres to the walls in a manner similar to that previously described in connection with receptacle 10.

Another technique that may be employed to facilitate extraction of the powder is by accelerating the flow of air through the cavity. Fig. 9 illustrates one  
15 technique for accelerating the flow of air through the cavity. Shown in Fig. 9 is a receptacle 68 comprising a receptacle body 70 having a top end 72 and a bottom end 74. Receptacle body 68 forms a cavity 76 that is defined by an interior wall 78. Cavity 76 may be configured to be generally open or may have a raised central region as previously described in connection with other embodiments. A plurality of vents 79 are formed in  
20 top end 72 about a periphery of cavity 76. A center hole 80 is also provided in top end 72 to permit an extraction tube 82 to be inserted into cavity 76 as shown. Extraction tube 82 has a bottom end 84 and a top end 86. Optionally, extraction tube 82 may have a reduced cross sectional area at top end 86 to facilitate aerosolization of the powder as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. Although  
25 not shown, it will be appreciated that a high pressure gas stream may be flowed past a portion of extraction tube 82 at a location spaced apart from bottom end 84 in a manner similar to that previously described. Alternatively, the high pressure gas stream may be flowed through a hole in bottom end 74 and then into extraction tube 82, as described hereinafter. In either case, the use of such a high pressure gas stream causes air to be  
30 drawn into cavity 76 through vents 79 where the powder is moved into extraction tube 82 through its bottom end 84 where it is entrained in the high pressure gas stream and aerosolized.

Each of vents 79 forms a flow area  $A_i$ . When summed together, areas  $A_i$  form a total input flow area  $A_1$ . As the air passes through cavity 76, it flows through a

gap created between bottom end 84 of extraction tube 82 and bottom end 74 of cavity 76. This flow area may be calculated by multiplying the distance of the gap by the circumference of extraction tube 82 at bottom end 84. This area is referred to as the gap area  $A_G$ . As the air flows through extraction tube 82, the flow area is restricted near top end 86 as shown. This area is the cross sectional area  $A_O$  of the extraction tube. To accelerate the flow of air through cavity 76, areas  $A_I$ ,  $A_G$  and  $A_O$  may be configured such that  $A_I > A_G > A_O$ . In this way, the flow area is progressively decreased as it passes through the system. As such, the flow of air is accelerated as it passes through cavity 76. Although a variety of area ratios may be employed, one particular ratio is where  $A_I = 2$ ,  $A_G = 1.5$ , and  $A_O = 1$ . However, it will be appreciated that other ratios may be employed.

Referring now to Fig. 10, one embodiment of an aerosolization apparatus 90 will be described. Apparatus 90 comprises a base 92 that forms a housing for various components of apparatus 90. Enclosed in base 92 is a holder 94 for holding a receptacle. For convenience of illustration, receptacle 10 of Fig. 1 is shown held within base 92. However, it will be appreciated that other types of receptacles may be utilized with apparatus 90. A button 96 is provided on holder 94 to permit holder 94 to move up and down within base 92 as indicated by the arrows. As shown, holder 94 has a generally flat surface. As previously described, receptacle body 12 may be included with a flat portion on bottom end 16 to facilitate its placement onto holder 94. However, it will be appreciated that holder 94 may be constructed to have different geometries to facilitate holding of receptacle 10, as well as to facilitate introduction and removal of receptacle 10.

Positioned above receptacle 10 is an aerosolizing mechanism 98 that includes an extraction tube 100 that is insertable into cavity 20 of receptacle 10. Optionally, a bottom end 102 of extraction tube 100 may include a sharpened edge or other piercing structure to form a hole in the top end of receptacle 10 to facilitate its introduction into cavity 20. Joining extraction tube 100 at an acute angle relative to a central axis of extraction tube 100 (and relative to bottom end 102) are a pair of channels 104. A pressure source 106 is employed to produce a high pressure gas stream within channels 104. The high pressure gas stream is introduced into extraction tube 100 to cause air to be drawn into bottom end 102 from cavity 20 as described generally in U.S. Patent No. 5,740,794, previously incorporated herein by reference. Pressure source 106 may be any one of a variety of pressure sources, including manually activated pistons, compressed gases, fluorocarbons, and the like as described generally in the patents and patent applications previously incorporated herein by reference. Hence, it will be

appreciated that pressure source 106 is merely being shown schematically for convenience of illustration. Although not shown, an actuating mechanism may be employed to release the pressurized gas from pressure source 106 when a patient is ready to produce the aerosolized medicament.

5                   Aerosolization mechanism 98 includes a bottom end 108 that serves as a stop or a flow insert to control the gap between bottom end 102 of extraction tube 100 relative to bottom end 16 of receptacle 10. In this way, when button 96 is moved upward, the top end of receptacle 10 will engage bottom end 108 to fix the distance of extraction tube 100 relative to the bottom end of receptacle 10. Use of such a flow insert is  
10                   advantageous in that the gap area  $A_G$  (see Fig. 9) may be precisely controlled to facilitate the acceleration of air through cavity 20 in a manner similar to that previously described in connection with Fig. 9.

                  Extending from bottom end 108 are a plurality of piercing elements 110 that are configured to produce vents in receptacle 10 about the periphery of cavity 20. In  
15                   this way, air may be drawn through the vents when the high pressure gases stream is released from pressure source 106.

                  Coupled to base 92 is a capture chamber 112. Capture chamber 112 is configured to capture the aerosolized medicament exiting extraction tube 100 in a manner similar to that described in connection with the patents and patent applications  
20                   incorporated herein by reference. Capture chamber 112 includes a mouthpiece 114 through which the patient may inhale the captured medicament.

                  Hence, apparatus 90 may be employed to aerosolize a medicament by inserting receptacle 10 into base 92. Holder 94 is then raised to insert extraction tube 102 into cavity 20 and to cause piercing elements 110 to form vents in receptacle 10. Pressure  
25                   source 106 is actuated to release an amount of pressurized gas which causes air to be drawn into and through the vents and along the walls of cavity 20 until entering into extraction tube 100. As the powder is moved into extraction tube 100, it encounters the high pressure gas stream which deagglomerates the powder and ejects the powder into capture chamber 112 in an aerosolized form. Although holder 94 is shown to move  
30                   vertically upward, it will be appreciated that extraction tube 100 and/or piercing elements 110 may be configured to be moved downward to be inserted into cavity 20. Further, alternative aerosolizing mechanisms 98 may be employed as described herein.

                  Referring now to Fig. 11, an alternative technique for drawing air through a receptacle to move powder within the receptacle into an extraction tube will be



described. Shown schematically in Fig. 11 is a receptacle 116 having a top end 118 and a bottom end 120. Receptacle 116 includes a cavity 122 having a raised central region 124. However, it will be appreciated that the technique described in connection with Fig. 11 may be employed with other cavity designs, including those that do not utilize a raised central region. A plurality of vents 126 are formed in top end 118 to permit air to be drawn into cavity 122. An extraction tube 128 is inserted into cavity 122, with a bottom end 130 being spaced apart from raised central region 124 as shown. A bottom hole 132 is formed in bottom end 120 of receptacle 116. In this way, a high pressure gas stream may be flowed through bottom hole 132 and then through bottom end 130 of extraction tube 128, as shown by the arrows. In so doing, air is drawn through vents 126 and through cavity 122 where it enters bottom end 130 of extraction tube 128 as shown by the arrows. As the air flows through cavity 122, it moves the powder into extraction tube 128 in a manner similar to that previously described in connection with other embodiments.

Shown schematically in Fig. 12 is an aerosolizing apparatus 134 that may be employed to aerosolize a powdered medicament using the techniques just described in connection with Fig. 11. Apparatus 134 comprises a base 136 having a holder 138 for holding a receptacle 140. Holder 138 includes a knob 142 to permit receptacle 140 to be moved up and down as shown by the arrows. Also included within base 136 is an extraction tube 144 having a bottom end 146. By moving knob 142, extraction tube 144 may be inserted into receptacle 140 as shown. Alternatively, extraction tube 144 may be constructed to be movable so that it may be moved into receptacle 140.

Positioned below holder 138 is a pressure source 148 and an introduction tube 150. Pressure source 148 and/or introduction tube 150 may be moved vertically upward as illustrated by the arrows to pierce receptacle 140 and insert introduction tube 150 into or adjacent to receptacle 140. An amount of pressurized gas may then be released from pressure source 150 where it flows through the hole in the bottom end of receptacle 140 and into bottom end 146 of extraction tube 144. As one alternative, holder 142 may be lowered while pressure source 148 is kept stationary to form the hole in the bottom end of receptacle 140. Although not shown, it will be appreciated that a piercing mechanism may be employed to form one or more vents in receptacle 140 (or receptacle 140 may include pre-formed vents). In this way, outside air may flow into the receptacle through the vents to assist in moving powder into tube 144.

Positioned on base 136 is a capture chamber 152 having a mouthpiece 154. With such a configuration, receptacle 140 may be placed into holder 138 and extraction

tube 144 inserted into receptacle 140. A hole may then be formed in the bottom end of receptacle 140 and a pressurized gas from pressure source 148 released to cause a high pressure gas stream to flow through extraction tube 144. In so doing, air is drawn into and through the receptacle and into extraction tube 144 where the powder is aerosolized and ejected into capture chamber 152.

Shown in Figs. 13 and 14 is an alternative embodiment of a receptacle 156. Receptacle 156 comprises a receptacle body 158 having a top end 160 and a bottom end 162. Receptacle body 158 forms a cavity 164 that holds a powder 166. Extending from cavity 164 is a tab 168 to facilitate handling of the receptacle. Formed about a periphery of cavity 164 are a plurality of vents 170 that extend through top end 160. Extending through top end 160 at a center of cavity 164 is a hole 172 that is adapted to receive an extraction tube (not shown) in a manner similar to that described with previous embodiments.

Secured to top end 160 at a location above cavity 164 is a cover 174. Cover 174 is secured to top end 160 in a manner such that vents 170 and hole 172 is covered to seal powder 166 within cavity 164. As shown, cavity 164 includes a raised central region 176. However, it will be appreciated that cavity 164 may be constructed to have essentially any geometry. Cover 174 is folded over itself and extends back over tab 168. In this way, cover 174 will generally extend outside of an aerosolization apparatus. As such, when the user is ready to aerosolize the medicament, receptacle 156 is inserted into the aerosolization apparatus and cover 174 is pulled from top end 160. In this way, vents 170 and hole 172 are exposed. An extraction tube may then be inserted through hole 172 in a manner similar to that previously described. By preforming vents 170 and 172, top end 160 will not need to be pierced while within the aerosolization apparatus.

Shown schematically in Fig. 15 is an aerosolizing apparatus 200 that may be employed to aerosolize a powdered medicament using a gas flow created by the patient's own inhalation. Apparatus 200 comprises a base 202 having a holder 204 for holding a receptacle 206, which is representative of any of the receptacles described herein. Holder 204 includes a knob 208 to permit receptacle 206 to be moved up and down as shown by the arrows. Also included within base 202 is an extraction tube 210 having a bottom end 212. By moving knob 208, extraction tube 210 may be inserted into receptacle 206 as shown. Alternatively, extraction tube 210 may be constructed to be movable so that it may be moved into receptacle 206. Conveniently, a piercing mechanism 214 may be positioned below holder 204 to permit a hole to be pierced in the

bottom of receptacle 206 when knob 208 is lowered. Positioned on top of base 202 is a mouthpiece 216 over which a patient's mouth may be placed when ready to receive a dose of medication.

In use, receptacle 206 is placed into base 202 so as to be resting within  
5 holder 204. Knob 208 is then lowered to pierce a hole in the bottom of receptacle 206. Knob 208 is then raised to insert bottom end 212 of extraction tube 210 into the top of receptacle 206 in a manner similar to that described with other embodiments. The patient then places his or her mouth over mouthpiece 216 and inhales. This causes air to be drawn through the hole in the bottom of receptacle 206 and draws air through vents in the  
10 top end of receptacle 206 in a manner similar to other embodiments described herein. The powder drawn into extraction tube 210 then flows upward through mouthpiece 216 and into the patient's lungs.

Optionally, extraction tube 210 may have one or more bends 218 to facilitate powder deagglomeration as the powder passes through extraction tube 210. As  
15 another option, one or more obstacles 220 may be placed into extraction tube 210 to facilitate powder deagglomeration. Further, it will be appreciated that bends and obstacles may be provided in the extraction tubes of the other aerosolization devices described herein.

The invention has now been described in detail for purposed of clarity of  
20 understanding. However, it will be appreciated that certain changes and modifications may be practiced within the scope of the appended claims.

WHAT IS CLAIMED IS:

- 1                   1.       A receptacle for holding fine powders, the receptacle comprising:  
2                   a receptacle body that defines an enclosed cavity, wherein the receptacle  
3                   body has a top end and a bottom end, and wherein the bottom end of the receptacle body  
4                   includes a raised central region that extends upwardly into the cavity.
- 1                   2.       A receptacle as in claim 1, wherein the receptacle body further  
2                   comprises at least one curved wall that in combination with the raised central region  
3                   forms a generally semi-toroidal geometry in the cavity.
- 1                   3.       A receptacle as in claim 1, wherein a portion of the bottom end is  
2                   flat in geometry.
- 1                   4.       A receptacle as in claim 1, wherein the receptacle body further  
2                   includes a tab extending from the cavity
- 1                   5.       A receptacle as in claim 1, further comprising a central hole in the  
2                   top end and multiple vents, and a cover removably attached to the top end to cover the  
3                   hole and the vents.
- 1                   6.       A method for aerosolizing a powder, the method comprising:  
2                   providing a receptacle having a top end, a bottom end, and a cavity  
3                   containing a powder;  
4                   inserting a bottom end of an extraction tube into the cavity such that the  
5                   bottom end of the extraction tube is spaced above the bottom end of the receptacle;  
6                   forming at least one vent in the cavity;  
7                   forming a hole in the bottom end of the cavity; and  
8                   flowing a gas stream through the hole in the bottom end of the receptacle  
9                   and through at least a portion of the extraction tube to cause air to be drawn through the  
10                  vent and then through the cavity to move the powder in the cavity into the extraction tube  
11                  where the powder is entrained in the high pressure gas stream to form an aerosol.
- 1                   7.       A method as in claim 6, wherein the bottom end of the receptacle  
2                   includes a raised central region that extends upwardly into the cavity, and further  
3                   comprising aligning the extraction tube with the raised central region such that the bottom  
4                   end of the extraction tube is spaced apart from the raised central region.

1                   8.     A method as in claim 6, further comprising capturing the  
2 aerosolized powder in a capture chamber.

1                   9.     A method as in claim 6, further comprising forming multiple vents  
2 in the top end of the receptacle about a periphery of the cavity such that air is drawn  
3 through substantially all of the cavity to remove the powder.

1                   10.    A method as in claim 6, further comprising forming tabs in the top  
2 end of the receptacle body that extend into the cavity to create a vortex within the cavity  
3 as the air flows through the cavity.

1                   11.    A method as in claim 6, further comprising a patient inhaling to  
2 produce the gas stream.

1                   12.    A method as in claim 6, further comprising releasing an amount of  
2 pressurized gas to produce the gas stream.

1                   13.    A method for aerosolizing a powdered medicament, the method  
2 comprising:  
3                   providing a receptacle comprising a receptacle body that defines an  
4 enclosed cavity, wherein the receptacle body has a top end and a bottom end, and wherein  
5 the bottom end of the receptacle body includes a raised central region that extends  
6 upwardly into the cavity;

7                   inserting a bottom end of an extraction tube into the cavity such that the  
8 bottom end of the extraction tube is aligned with the raised central region and is spaced  
9 above the bottom end of the receptacle;

10                  forming vents in the top end of the receptacle about a periphery of the  
11 cavity; and

12                  flowing a gas stream through a least a portion of the extraction tube to  
13 draw air through the vents and then through the cavity to move the powder in the cavity  
14 into the extraction tube where the powder is entrained in the gas stream to form an  
15 aerosol.

1                   14.     A method as in claim 13, wherein the receptacle includes a curved  
2 wall, and wherein the air flows along the wall to remove substantially all powder from the  
3 receptacle.

1                   15.     A method as in claim 13, wherein the air drawn by the gas stream  
2 flows through a flow area, and further comprising reducing the flow area as the air flows  
3 through the receptacle and the extraction tube to accelerate the flow of air through the  
4 receptacle.

1                   16.     A method as in claim 15, wherein the vents form a first flow area,  
2 wherein a gap between the extraction tube and the bottom end of the receptacle defines a  
3 second flow area, and wherein a cross section of the extraction tube defines a third flow  
4 area, and wherein the first flow area is greater than the second flow area, and wherein the  
5 second flow area is greater than the third flow area.

1                   17.     A method as in claim 16, wherein the ratio of the first flow area to  
2 the second flow area and to the third flow area is about 2.0:1.5:1.0.

1                   18.     A method as in claim 13, further comprising piercing a hole  
2 through the top end of the receptacle and inserting the extraction tube into the cavity  
3 through the hole in the top end.

1                   19.     A method as in claim 13, further comprising introducing the gas  
2 stream into the extraction tube at a location spaced apart from the bottom end of the  
3 extraction tube.

1                   20.     A method as in claim 13, further comprising forming a hole in the  
2 bottom end of the receptacle body, and flowing the gas stream through the hole in the  
3 bottom end.

1                   21.     A method as in claim 13, further comprising a patient inhaling to  
2 produce the gas stream.

1                   22.     A method as in claim 13, further comprising releasing an amount  
2 of pressurized gas to produce the gas stream.

1                   23.     A method for aerosolizing a powdered medicament, the method  
2 comprising:  
3                   providing a receptacle comprising a receptacle body that defines an  
4 enclosed cavity, wherein the receptacle body has a top end and a bottom end;  
5                   inserting a bottom end of an extraction tube into the cavity such that the  
6 bottom end of the extraction tube is spaced above the bottom end of the receptacle;  
7                   forming vents in the top end of the receptacle about a periphery of the  
8 cavity; and  
9                   flowing a gas stream through a least a portion of the extraction tube to  
10 draw air through the vents and then through the cavity to move the powder in the cavity  
11 into the extraction tube where the powder is entrained in the gas stream to form an  
12 aerosol; and wherein the air drawn by the gas stream flows through a flow area, and  
13 further comprising reducing the flow area as the air flows through the receptacle and the  
14 extraction tube to accelerate the flow of air through the receptacle.

1                   24.     A method as in claim 23, further comprising a patient inhaling to  
2 produce the gas stream.

1                   25.     A method as in claim 23, further comprising releasing an amount  
2 of pressurized gas to produce the gas stream.

1                   26.     A method as in claim 23, wherein the vents form a first flow area,  
2 wherein a gap between the extraction tube and the bottom end of the receptacle defines a  
3 second flow area, and wherein a cross section of the extraction tube defines a third flow  
4 area, and wherein the first flow area is greater than the second flow area, and wherein the  
5 second flow area is greater than the third flow area.

1                   27.     A method as in claim 23, wherein the receptacle further comprises  
2 a curved wall, and wherein the bottom end of the receptacle body includes a raised central  
3 region that extends upwardly into the cavity to provide a generally laminar air flow along  
4 the wall.

1                   28.     An apparatus for aerosolizing a powdered medicament, the apparatus  
2 comprising:

3                   a housing having a holder that is adapted to receive a receptacle having a  
4                   cavity that holds a powder;  
5                   a piercing mechanism that is adapted to pierce a hole in a bottom end of  
6                   the receptacle;  
7                   a vent forming mechanism for forming multiple vents in a top end of the  
8                   receptacle; and  
9                   an extraction tube that is adapted to be placed into the cavity so as to be  
10                  spaced above the bottom end of the receptacle and to be aligned with the hole in the  
11                  bottom end.

1                   29.     An apparatus as in claim 28, further comprising a mouthpiece  
2                   coupled to the housing that is adapted to receive a patient's mouth to permit the patient to  
3                   produce a gas stream that is flowed through the hole in the bottom end of the receptacle  
4                   and into extraction tube to draw the powder from the cavity and into the extraction tube  
5                   where the powder is entrained in the gas stream to form an aerosol

1                   30.     An apparatus as in claim 28, further comprising a flow insert to  
2                   control spacing of the extraction tube relative to the receptacle.

1                   31.     A system for aerosolizing a powdered medicament, the system  
2                   comprising:  
3                   at least one receptacle that comprises a receptacle body that defines an  
4                   enclosed cavity, wherein the receptacle body has a top end and a bottom end, and wherein  
5                   the bottom end of the receptacle body includes a raised central region that extends  
6                   upwardly into the cavity; and  
7                   an aerosolizing apparatus having a holder for holding the receptacle, an  
8                   extraction tube that is insertable into the cavity, a vent forming device to form multiple  
9                   vents in the top end of the receptacle about a periphery of the cavity.

1                   32.     A system as in claim 32, further comprising a pressure source for  
2                   producing a high pressure gas stream within at least a portion of the extraction tube to  
3                   draw air through the vents to move the powder from the cavity and into the extraction  
4                   tube where the powder is entrained in the high pressure gas stream to form an aerosol.

1                   33.     A system as in claim 32, further comprising a flow insert to control  
2                   spacing of the extraction tube relative to the receptacle.



1                   34.     A system as in claim 32, wherein a portion of the bottom end of the  
2     receptacle is flat in geometry.

1                   35.     A powder extraction system, comprising:  
2                   at least one receptacle that defines an enclosed cavity that holds a powder,  
3     wherein the receptacle has a top end and a bottom end;  
4                   a holder to hold the receptacle;  
5                   an extraction tube that is insertable into the cavity;  
6                   a vent forming device to form vents in the top end of the receptacle,  
7     wherein the vents form a first flow area, wherein a gap between the extraction tube and  
8     the bottom end of the receptacle defines a second flow area, and wherein a cross section  
9     of the extraction tube defines a third flow area, and wherein the holder is configured to  
10    move the receptacle relative to the bottom end of the extraction tube such that the first  
11    flow area is greater than the second flow area, and wherein the second flow area is greater  
12    than the third flow area to accelerate a gas flowing through the receptacle.

1                   36.     A system as in claim 36, further comprising a pressure source for  
2     producing a high pressure gas stream within at least a portion of the extraction tube to  
3     draw air through the vents to move the powder from the cavity and into the extraction  
4     tube where the powder is entrained in the high pressure gas stream to form an aerosol

1                   37.     A system as in claim 36, wherein the receptacle includes a curved  
2     wall, and wherein the bottom end of the receptacle includes a raised central region that  
3     extends upwardly into the cavity.

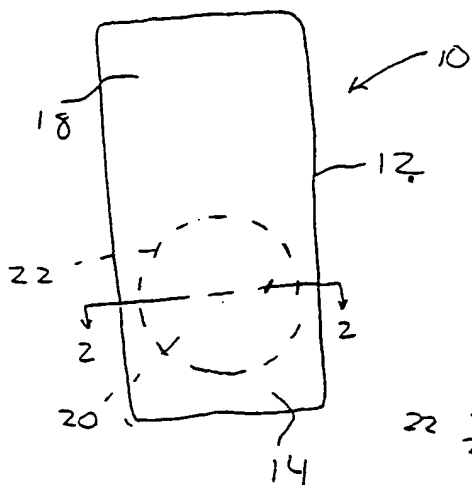


FIG. 1

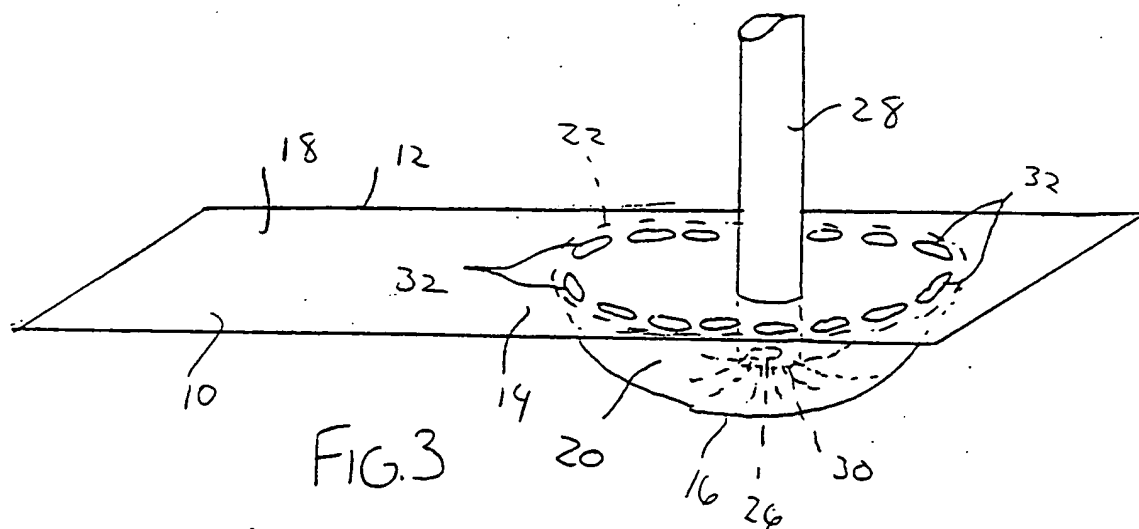
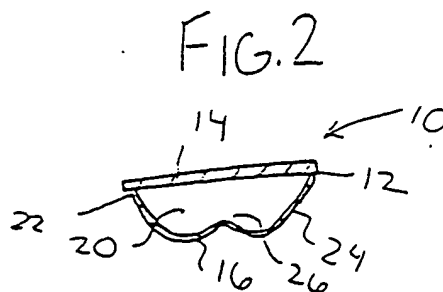


FIG. 3

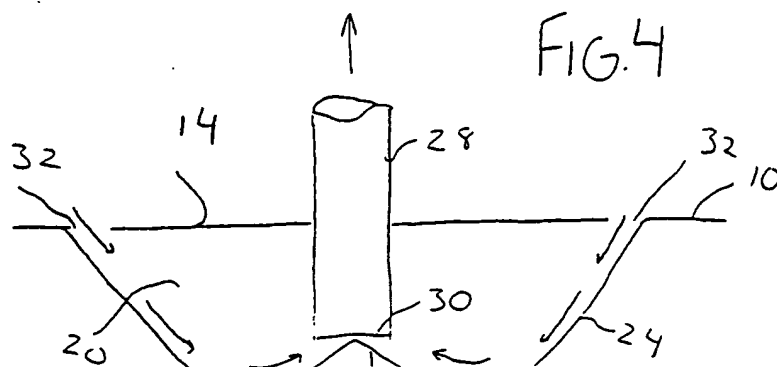


FIG. 4

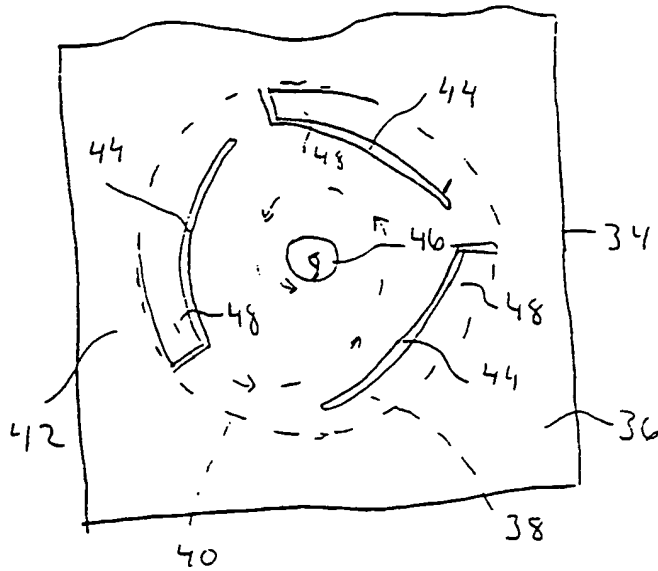


FIG. 5

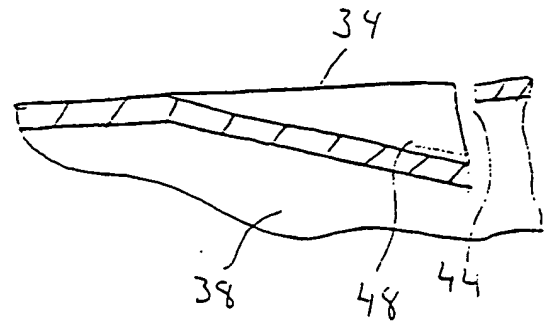


FIG. 6

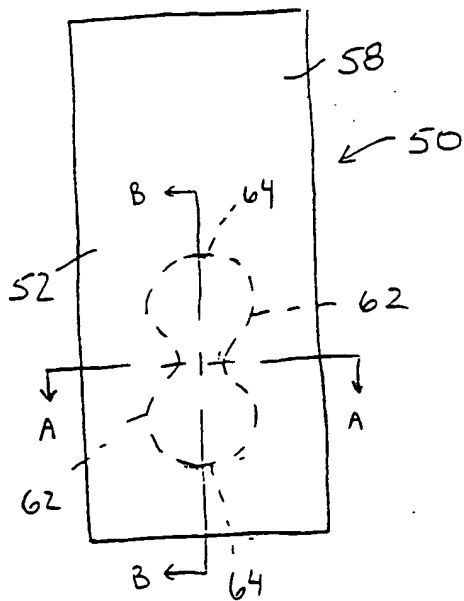


FIG. 7

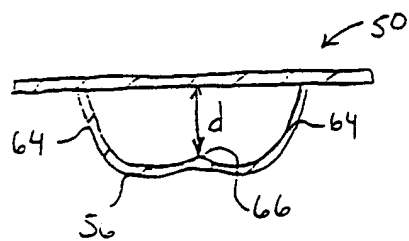


FIG. 8B

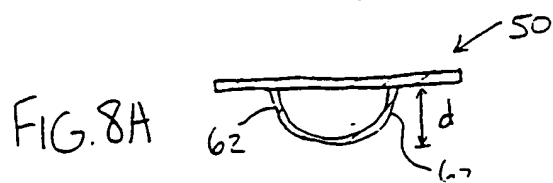


FIG. 8A

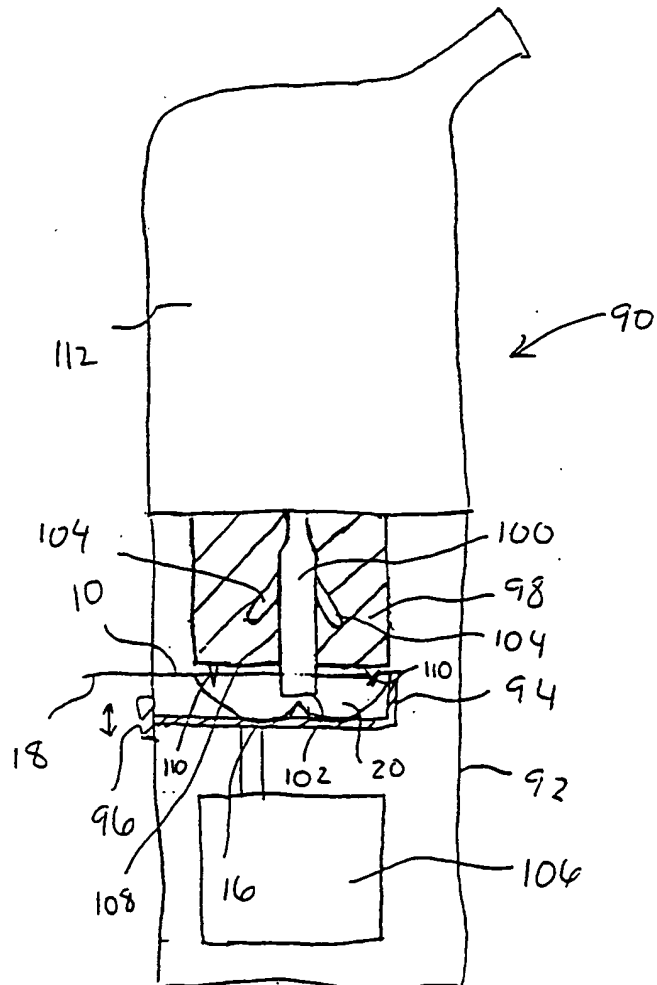
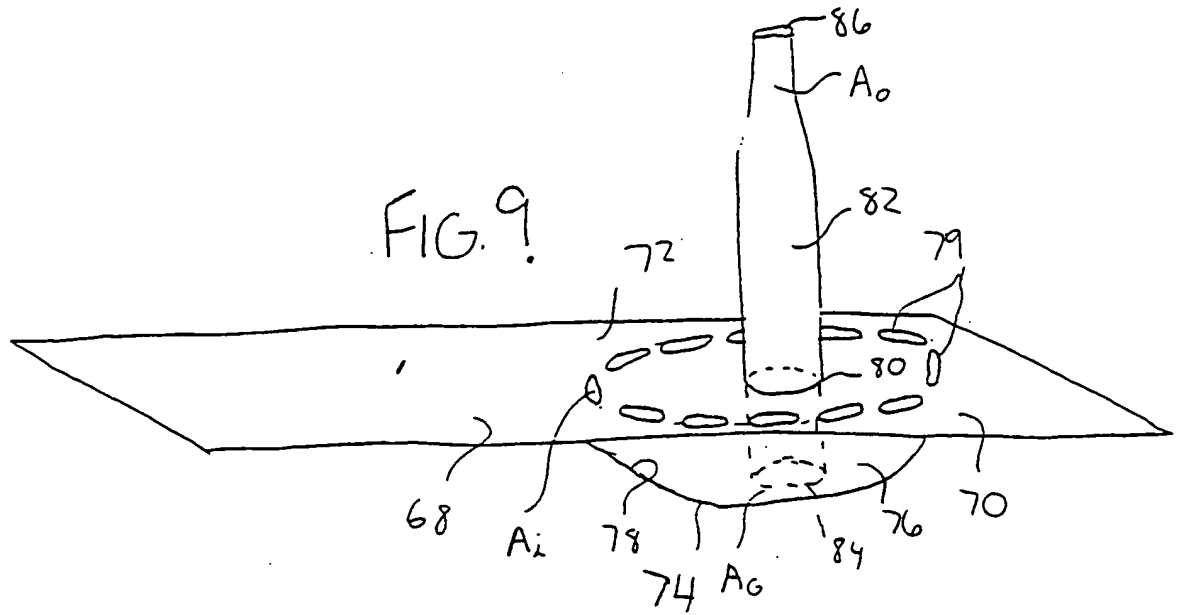


FIG. 10

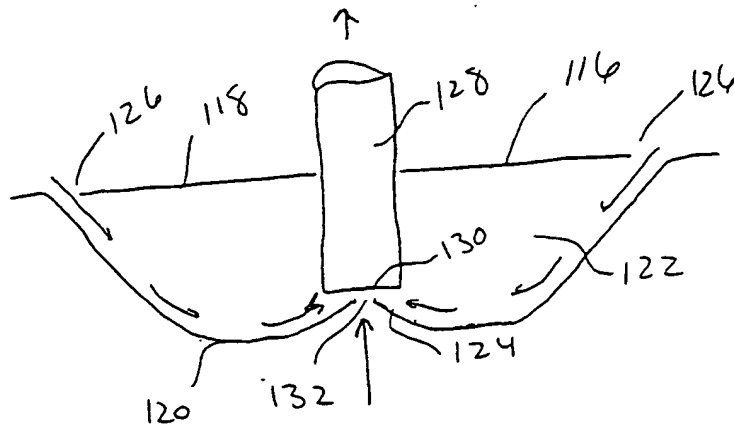


FIG. 11

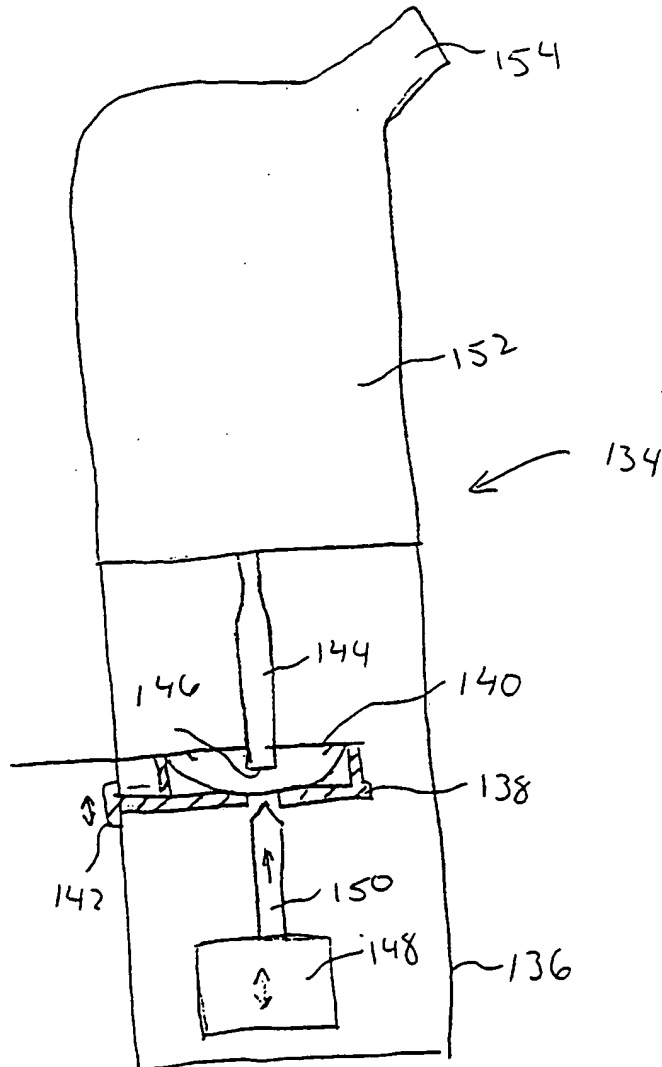
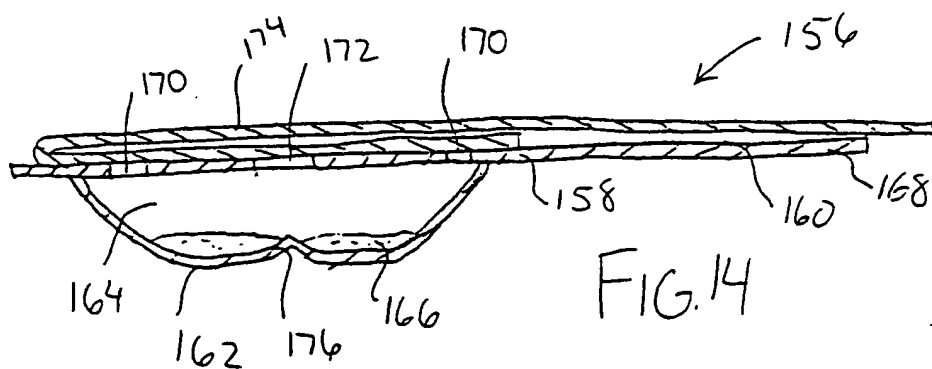
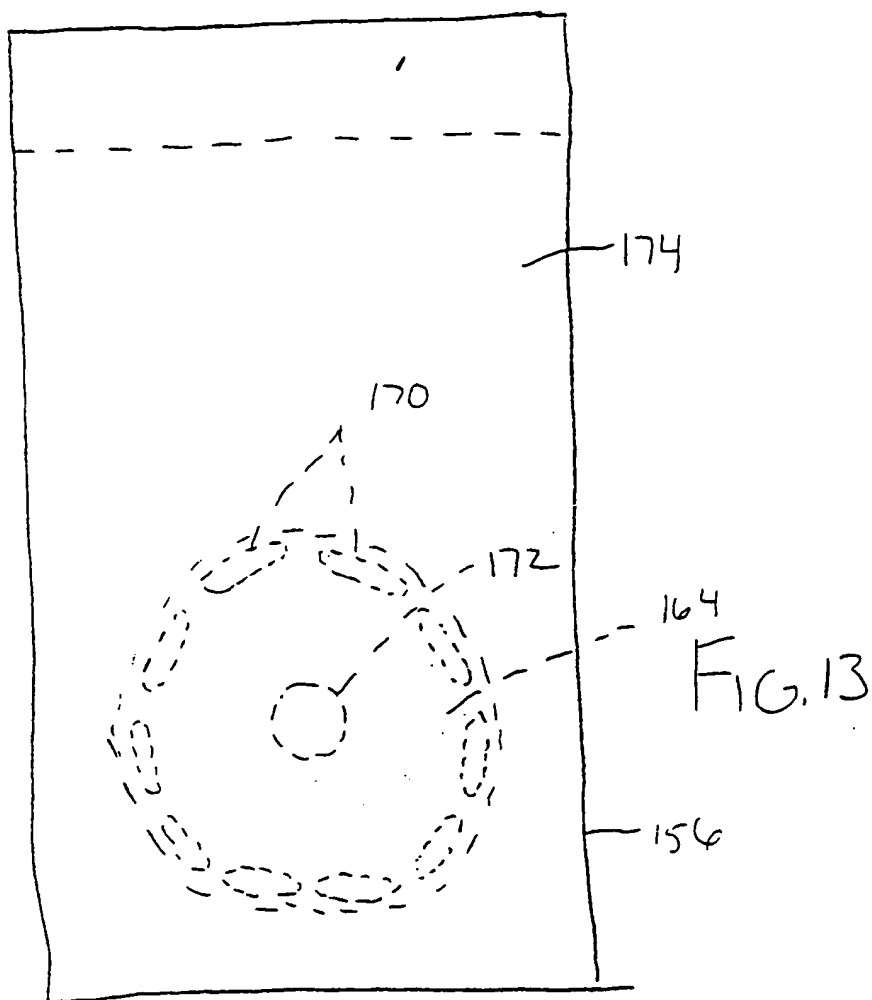


FIG. 12





# PATENT COOPERATION TREATY

# PCT

## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH ~~REPORT~~

(PCT Article 17(2)(a), Rules 13ter.1(c) and Rule 39)


Applicant's or agent's file reference <b>15225-54-2PC</b>	IMPORTANT DECLARATION	Date of mailing (day/month/year) <b>09/05/2001</b>
International application No. <b>PCT/US 00/ 34037</b>	International filing date (day/month/year) <b>15/12/2000</b>	(Earliest) Priority date (day/month/year) <b>17/12/1999</b>
International Patent Classification (IPC) or both national classification and IPC <b>A61M15/00</b>		
Applicant <b>INHALE THERAPEUTIC SYSTEMS, INC.</b>		

This International Searching Authority hereby declares, according to Article 17(2)(a), that no international search report will be established on the international application for the reasons indicated below

1. ☐ The subject matter of the international application relates to:
  - a. ☐ scientific theories.
  - b. ☐ mathematical theories
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☐ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods practised on the human or animal body.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☒ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
 

☐ the description
 ☒ the claims
 ☐ the drawings
3. ☐ The failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions prevents a meaningful search from being carried out:
 

☐ the written form has not been furnished or does not comply with the standard.
 ☐ the computer readable form has not been furnished or does not comply with the standard.
4. Further comments: SEE ADDITIONAL SHEET

Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  <b>Paul Faux</b>
--	--



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 00/34037

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 203

In view of the large number of independent claims and also the wording, i.e. at least partly overlapping scope, of the independent claims presently on file, render it difficult, if not impossible, to determine the matter for which protection is sought, see also PCT Guidelines chapter III-4.4.

The technical features of the independent claims are defined in a way such that it is not clear if any of them has a corresponding feature in the other independent claims, or if they are related to different features solving different problems, cf. Rule 13 PCT.

As example, the receptacle of claim 1 can only be found as a part of independent claims 13 and 31 whereas independent claims 6, 23, 28 and 35 define different receptacles.

The independent method claims 6, 13 and 23 have partly overlapping scope but differ in a way such that it is impossible to determine the matter for which protection is sought, Article 6 PCT, and if they have a single general inventive concept, Rule 13 PCT.

The same obscurity applies to the independent device claims 28, 31 and 35.

The present application therefore fails to comply with the clarity and conciseness requirements of Article 6 PCT to such an extent that a meaningful search is impossible.

Consequently, no search report can be established for the present application.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 June 2001 (21.06.2001)

PCT

(10) International Publication Number  
**WO 01/43529 A3**

(51) International Patent Classification<sup>7</sup>: A61M 15/00, 16/00, 11/00

(21) International Application Number: PCT/US00/34037

(22) International Filing Date:  
15 December 2000 (15.12.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/172,317 17 December 1999 (17.12.1999) US

(71) Applicant: INHALE THERAPEUTIC SYSTEMS, INC. [US/US]; 150 Industrial Road, San Carlos, CA 94070 (US).

(72) Inventors: PABOOJIAN, Steve; 2133 Avy Avenue, Menlo Park, CA 94025 (US). SCHULER, Carlos; 22305 Carta Blanca St., Cupertino, CA 95014 (US). CLARK, Andrew; 436 Valdez Avenue, Half Moon Bay, CA 94019 (US).

(74) Agent: TUCKER, Guy, V.; Inhale Therapeutic Systems, Inc., 150 Industrial Road, San Carlos, CA 94070 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

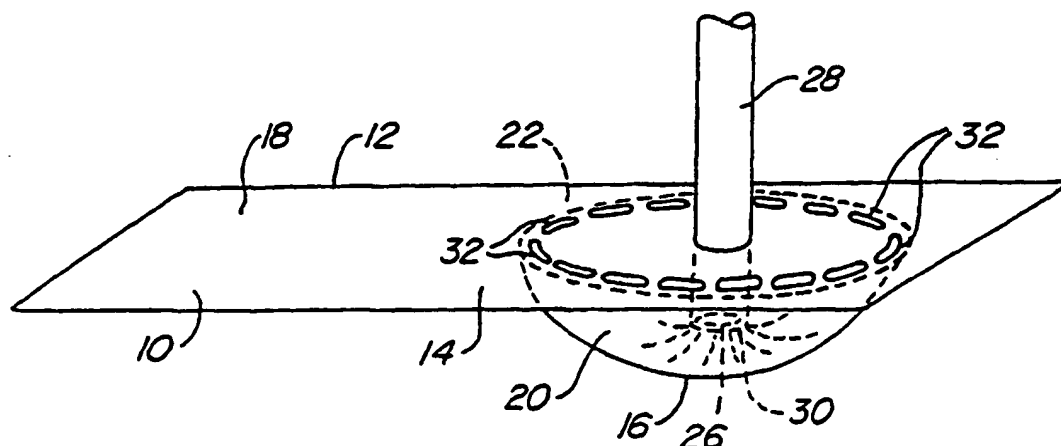
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:  
— with international search report

(88) Date of publication of the international search report:  
10 May 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RECEPTACLES TO FACILITATE THE EXTRACTION OF POWDERS



(57) Abstract: A receptacle (10) for holding fine powders comprises a receptacle body (12) that defines an enclosed cavity (20). The receptacle body (12) has a top end (14) and a bottom end (16). Further, the bottom end (16) of the receptacle body (12) includes a raised central region (26) that extends upwardly into the cavity (20). In this way, the flow of air through the cavity will remain generally adjacent the walls of the cavity until exiting through an extraction tube.

WO 01/43529 A3

# INTERNATIONAL SEARCH REPORT

In **ational Application No**  
**PCT/US 00/34037**

**A. CLASSIFICATION OF SUBJECT MATTER**  
**IPC 7 A61M15/00 A61M16/00 A61M11/00**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
**IPC 7 A61M B05D B65D B05B**

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**EPO-Internal**

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 239 991 A (CHAWLA BRINDRA P S ET AL) 31 August 1993 (1993-08-31) abstract; figures column 1, line 65 -column 2, line 46 column 3, line 4-8 column 3, line 42 -column 4, line 8 ---	1-5
A	DE 197 57 208 A (SCHUCKMANN ALFRED VON) 24 June 1999 (1999-06-24)  abstract; figures column 4, line 15-55 column 6, line 46-52 ---	1-5, 13-20, 22,31-34
A	EP 0 481 666 A (MERCK & CO INC) 22 April 1992 (1992-04-22) abstract; figures ---	1-5
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

**\* Special categories of cited documents :**

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

**4 July 2001**

Date of mailing of the international search report

**27/07/2001**

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel: (+31-70) 340-2040, Tx: 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

**Lager, J**

# INTERNATIONAL SEARCH REPORT

In International Application No  
PCT/US 00/34037

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 34661 A (ASTRA AB ; JAHNSSON MAGNUS (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 7, line 14 -page 8, line 14 -----	1-5, 13-20, 22,31-34
A	WO 98 34663 A (WIDERSTROEM CARIN ;ASTRA AB (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 2, line 1-25 page 6, line 9-26 -----	1-5, 13-20, 22,31-34
A	WO 98 34664 A (WIDERSTROEM CARIN ;ASTRA AB (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 1, line 20 -page 2, line 18 -----	1-5, 13-20, 22,31-34

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

Continuation of Box I.1

With the letter of 08.06.2001 the applicant requested that only claims 1-5, 13-22 and 31-34 should be searched.

/

-----

Continuation of Box I.1

Claims Nos.: 6-12,21,23-30,35-37

Regarding Claims 21:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy.

-----

Continuation of Box I.2

Claims Nos.: 6-12,21,23-30,35-37

With the letter of 08.06.2001 the applicant requested that only claims 1-5, 13-22 and 31-34 should be searched.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

In tional Application No

PCT/US 00/34037

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5239991	A	31-08-1993	AT 93151 T	15-09-1993
			CA 2019385 A	21-12-1990
			DE 69002800 D	23-09-1993
			DE 69002800 T	23-12-1993
			DK 404454 T	20-12-1993
			EP 0404454 A	27-12-1990
			ES 2043285 T	16-12-1993
			FI 101858 B	15-09-1998
			IE 902236 A	13-02-1991
			JP 2927506 B	28-07-1999
			JP 3037077 A	18-02-1991
			NO 300875 B	11-08-1997
			PT 94442 A, B	08-02-1991
DE 19757208	A	24-06-1999	AU 2163299 A	12-07-1999
			AU 2513999 A	12-07-1999
			AU 3139499 A	12-07-1999
			BR 9814431 A	10-10-2000
			CN 1284890 T	21-02-2001
			CN 1286638 T	07-03-2001
			CN 1284891 T	21-02-2001
			WO 9932179 A	01-07-1999
			WO 9932180 A	01-07-1999
			WO 9931952 A	01-07-1999
			EP 1045711 A	25-10-2000
			EP 1049504 A	08-11-2000
			EP 1042027 A	11-10-2000
			NO 20003180 A	21-08-2000
			NO 20003181 A	18-08-2000
			NO 20003182 A	21-08-2000
			SK 9542000 A	07-11-2000
			SK 9552000 A	07-11-2000
			SK 9562000 A	07-11-2000
			TR 200001862 T	22-01-2001
			TR 200001984 T	22-01-2001
EP 0481666	A	22-04-1992	US 5042472 A	27-08-1991
			CA 2052890 A	16-04-1992
			JP 1867595 C	26-08-1994
			JP 4259471 A	16-09-1992
			JP 5077432 B	26-10-1993
WO 9834661	A	13-08-1998	AU 718386 B	13-04-2000
			AU 6008898 A	26-08-1998
			EP 0971763 A	19-01-2000
WO 9834663	A	13-08-1998	AU 6009098 A	26-08-1998
			EP 0973570 A	26-01-2000
WO 9834664	A	13-08-1998	AU 5889398 A	26-08-1998
			EP 0973569 A	26-01-2000

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 June 2001 (21.06.2001)

PCT

(10) International Publication Number  
WO 01/043529 A3

(51) International Patent Classification<sup>7</sup>: A61M 15/00,  
16/00, 11/00

(21) International Application Number: PCT/US00/34037

(22) International Filing Date:  
15 December 2000 (15.12.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/172,317 17 December 1999 (17.12.1999) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant: INHALE THERAPEUTIC SYSTEMS, INC. [US/US]; 150 Industrial Road, San Carlos, CA 94070 (US).

Published:  
— with international search report

(72) Inventors: PABOOJIAN, Steve; 2133 Avy Avenue, Menlo Park, CA 94025 (US). SCHULER, Carlos; 22305 Carta Blanca St., Cupertino, CA 95014 (US). CLARK, Andrew; 436 Valdez Avenue, Half Moon Bay, CA 94019 (US).

(88) Date of publication of the international search report:  
10 May 2002

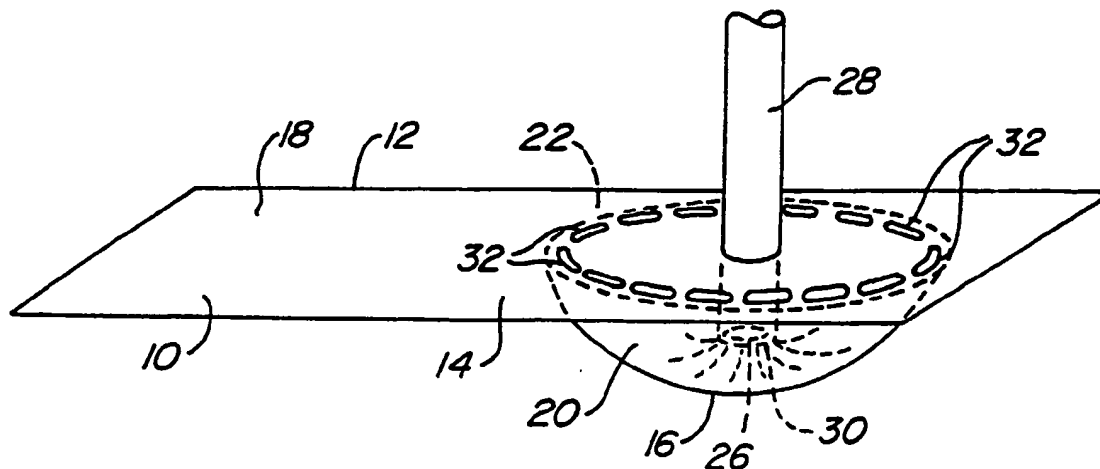
(48) Date of publication of this corrected version:  
27 December 2002

(74) Agent: TUCKER, Guy, V.; Inhale Therapeutic Systems, Inc., 150 Industrial Road, San Carlos, CA 94070 (US).

(15) Information about Correction:  
see PCT Gazette No. 52/2002 of 27 December 2002, Section II

[Continued on next page]

(54) Title: RECEPTACLES TO FACILITATE THE EXTRACTION OF POWDERS



(57) Abstract: A receptacle (10) for holding fine powders comprises a receptacle body (12) that defines an enclosed cavity (20). The receptacle body (12) has a top end (14) and a bottom end (16). Further, the bottom end (16) of the receptacle body (12) includes a raised central region (26) that extends upwardly into the cavity (20). In this way, the flow of air through the cavity will remain generally adjacent the walls of the cavity until exiting through an extraction tube.

WO 01/043529 A3



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



## RECEPTACLES TO FACILITATE THE EXTRACTION OF POWDERS

### CROSS REFERENCE TO RELATED APPLICATIONS

5           This application is a continuation in part application and claims the benefit of U.S. Provisional Patent Application No. 60/172,317, filed December 17, 1999, the complete disclosure of which is herein incorporated by reference.

### BACKGROUND OF THE INVENTION

10           This invention relates generally to the field of drug delivery, and in particular to the pulmonary delivery of powdered medicaments. More specifically, the invention relates to techniques for extracting powdered medicaments from receptacles during the aerosolizing process.

          One promising way to deliver various drugs to a patient is by pulmonary  
15       delivery where a drug dispersion or aerosol is inhaled by the patient to permit the active drug within the dispersion to reach the distal or alveolar regions of the lung. Pulmonary drug delivery has shown to be particularly promising because certain drugs have been found to readily absorb within the blood circulation. For example, pulmonary delivery may be a useful approach for proteins and polypeptides that are difficult to deliver by  
20       other routes of administration.

          A variety of techniques have been employed to deliver drugs to the lungs including liquid nebulizers, metered dose inhalers, and the like. Of particular interest to the invention are dry powder dispersion devices that are able to aerosolize powdered medicaments for inhalation by the patient. Exemplary apparatus for aerosolizing  
25       powdered medicaments are described in U.S. Patent Nos. 5,458,135, 5,775,320, 5,740,794 and 5,785,049, and copending U.S. patent application serial nos. 09/004,558, filed January 8, 1998, 09/312,434, filed June 4, 1999, 60/136,518, filed May 28, 1999, and 60/141,793, filed June 30, 1999, the complete disclosures of which are herein incorporated by reference.

30           At least some of the apparatus described in the above references utilize a high pressure gas stream to draw the powder into an extraction tube where the powder is deagglomerated, entrained in the high pressure gas stream, and exits as an aerosol suitable for inhalation. In some cases, such apparatus may utilize a receptacle that has a

penetrable lid. The extraction tube is inserted through the lid and a vent is also formed in the lid. The high pressure gas stream then draws air through the receptacle and into the extraction tube. The air drawn through the receptacle extracts the powder where it joins with the high pressure gas stream to form the aerosol.

- 5                    This invention is related to alternative ways to extract powder from receptacles that store the powder. The invention also relates to the design of such receptacles to facilitate removal of the powder.

### SUMMARY OF THE INVENTION

- 10                   In one embodiment, the invention provides an exemplary receptacle for holding fine powders. The receptacle comprises a receptacle body that defines an enclosed cavity for holding the powder. The receptacle body has a top end and a bottom end, and the cavity is configured to facilitate extraction of substantially all of the powder when air or another gas is drawn through the cavity. For example, in one aspect, the
- 15                   bottom end of the receptacle body includes a raised central region that extends upwardly into the cavity. Such a configuration is advantageous in that it permits the flow of air to remain adjacent to an inner wall of the cavity until being drawn into an extraction tube. In this way, a generally laminar flow of air is provided across the walls of the cavity until reaching the extraction tube to provide a generally uniform shear stress across the walls.
- 20                   In this manner, the flow of air serves as a "scrubber" to remove powder from the walls where it may be drawn into the extraction tube.

- In one aspect, the curved interior wall in combination with the raised central region forms a generally semi-toroidal geometry in the cavity. With such a configuration, as the air is drawn through the cavity, it adheres to the walls and flows
- 25                   smoothly up the raised central region and into the extraction tube. Alternatively, the walls may be curved to form a "bow tie" configuration. Conveniently, a portion of the bottom end of the receptacle may be flat in geometry to facilitate convenient resting of the receptacle on a flat surface and accurate vertical positioning of the receptacle. In another aspect, the receptacle body may comprise a pair of opposing curved walls and a
- 30                   pair of opposing generally planar walls that at least partially form the cavity. Conveniently, the receptacle body may further include a tab that extends from the cavity to facilitate handling of the receptacle, e.g., such as when inserting the receptacle into an aerosolizing device.

The invention further provides an exemplary method for aerosolizing a powdered medicament. The method utilizes a receptacle having an enclosed cavity for holding the powder. The receptacle further includes a raised central region that extends upwardly into the cavity. According to the method, a bottom end of an extraction tube is inserted into the cavity such that the bottom end of the extraction tube is aligned with the raised central region and is spaced above the bottom end of the receptacle. Vents are formed in the top end of the receptacle about a periphery of a cavity, and a gas stream is flowed through at least a portion of the extraction tube to draw air through the vents and then through the cavity to move the powder in the cavity into the extraction tube where the powder is entrained in the high pressure gas stream to form an aerosol. Use of the raised central region is advantageous in that it channels or funnels the air toward the extraction tube to prevent powder from remaining in the center of the cavity.

In one aspect, the receptacle has one or more curved interior walls. In this way, the air flows along the wall along substantially the entire length of the cavity to remove substantially all powder from the receptacle. By providing a wall with a continuous curvature, the momentum of the flowing air is changed which causes the air flow to stay adjacent the wall, causing powder adjacent the wall to be drawn into the extraction tube.

In another aspect, the air drawn by the gas stream flows through a flow area that becomes progressively smaller. In this way, the air is accelerated as it flows through the receptacle and into the extraction tube to further assist in removing substantially all of the powder from the receptacle.

In still another aspect, a hole is pierced through the top end of the receptacle to permit the extraction tube to be inserted into the cavity through the hole in the top end. In one option, the gas stream may be introduced into the extraction tube at a location spaced apart from the bottom end of the extraction tube. Further, the gas stream may be introduced at an acute angle relative to a central axis of the extraction tube. In this way, the area of flow through the receptacle and the extraction tube may be controlled to accelerate the air through the receptacle. Alternatively, a hole may be formed in the bottom end of the receptacle body, and the gas stream may be flowed through the hole in the bottom end and then through the extraction tube, with outside air being drawn into the cavity through one or more vents to move the powder into the extraction tube. In another aspect, a flow insert may be provided to control spacing of the extraction tube relative to the receptacle. In this way, the area of flow through the

receptacle and the extraction tube may be controlled to accelerate the air through the receptacle.

In one alternative, an amount of pressurized gas is released to produce a high pressure gas stream that is utilized to extract the powder from the receptacle.

- 5 Alternatively, the gas stream may be produced by the patient's own inhalation, i.e. breath actuation.

- In another embodiment, the invention provides a method for aerosolizing a powdered medicament that utilizes a receptacle having an enclosed cavity for holding the powder. An extraction tube is inserted into the cavity such that a bottom end of the  
10 extraction tube is spaced above the bottom end of the receptacle. Vents are formed in the top end of the receptacle and a high pressure gas stream is flowed through at least a portion of the extraction tube to draw air through the vents and then into the cavity to remove the powder. Alternatively, a gas stream created by the patient's own inhalation may be flowed through the extraction tube to remove the powder. As the air is drawn  
15 through the receptacle and then into the extraction tube, the area of flow is progressively reduced to accelerate the flow of air through the receptacle.

- For example, in one aspect, the vents may form a first flow area, and a gap between the extraction tube and the bottom end of the receptacle may define a second flow area. Further, a cross-section of the extraction tube may define a third flow area.  
20 The first flow area is constructed to be larger than the second flow area, and the second flow area is constructed to be larger than the third flow area. Conveniently, the receptacle may include a curved interior wall and a raised central region that extends upwardly into the cavity so that a generally laminar flow of air may be produced along the wall in a manner similar to that previously described.

- 25 The invention further provides an exemplary method for aerosolizing a powder that utilizes a receptacle having a cavity that contains the powder. A bottom end of an extraction tube is inserted into the cavity such that the bottom end of the extraction tube is spaced above the bottom end of the receptacle. At least one vent is formed in the cavity, and a hole is formed in the bottom of the cavity such that the hole is generally  
30 aligned with the bottom end of the extraction tube. A gas stream is then flowed through the hole in the bottom end of the receptacle and then through the extraction tube to cause air to be drawn through the vent and then through the cavity to move powder in the cavity into the extraction tube where the powder is entrained in the gas stream to form an aerosol.

In one aspect, the aerosolized powder is captured in a capture chamber where it is available for inhalation by a patient. The method may utilize various features described in connection with other embodiments of the invention. For example, the receptacle may include a raised central region that extends upwardly into the cavity and is generally aligned with the extraction tube. Further, multiple vents may be formed in the top of the receptacle about a periphery of a cavity to draw air into substantially all of the cavity. Tabs or foils may also be formed in the top of the receptacle that extend into the cavity to create a vortex within the cavity as the air flows through the cavity.

Conveniently, the gas stream may be produced by releasing an amount of gas from a pressured gas source, or may be produced by the patient's own inhalation.

In another embodiment, an apparatus is provided for aerosolizing a powdered medicament. The apparatus comprises a housing having a holder for holding a receptacle having a cavity that contains the powder. A piercing mechanism is provided to pierce a hole in a bottom end of the receptacle, and a vent forming mechanism is provided to form multiple vents in the top of the receptacle. An extraction tube is further provided and may be placed into the cavity so as to be spaced above the bottom end of the receptacle and to be aligned with the hole in the bottom end. A pressure source or the patient's own inhalation may be utilized to produce a gas stream that is flowed through the hole in the bottom end of the receptacle and into the extraction tube. In so doing, outside air is drawn into the cavity and moves the powder from the cavity and into the extraction tube where the powder is entrained in the gas stream to form an aerosol. Conveniently, the vent forming mechanism may be configured to produce multiple vents that are spaced about a periphery of the receptacle.

In a further embodiment, a system is provided for aerosolizing a powdered medicament. The system comprises at least one receptacle that defines an enclosed cavity. The receptacle further includes a raised central region that extends upwardly into the cavity. An aerosolization apparatus is further provided having a holder for holding the receptacle. The aerosolizing apparatus further includes an extraction tube that is insertable into the cavity, a vent forming device to form multiple vents in the top end of the receptacle about a periphery of the cavity, and a pressure source for producing a high pressure gas stream within at least a portion of the extraction tube. Alternatively, the patient's own inhalation may be used to produce a gas stream. In this way, air may be drawn through the vents to move the powder from the cavity into the extraction tube where the powder is entrained in the gas stream to form an aerosol.

In one aspect, a flow insert may be provided to control spacing of the extraction tube relative to the receptacle. Further, the flow insert in combination with the vents and the extraction tube may be employed to accelerate the flow of air through the receptacle. For example, the vents may be configured to form a first flow area, and a gap  
5 between the extraction tube and the bottom end of the receptacle may define a second flow area. A cross-section of the extraction tube may define a third flow area. In this way, the holder may be configured to move the receptacle relative to the bottom end of the extraction tube, or vice versa, such that the first flow area is greater than the second flow area, and the second flow area is greater than the third flow area.

10 In still another aspect, curved tabs or foils may be preformed in the top end of the receptacle body to create a vortex within the cavity as the air flows through the cavity. In this way, removal of substantially all the powder from the receptacle is facilitated. In another aspect, a portion of the bottom end of the receptacle may be flat in geometry to facilitate its placement onto the holder.

15 In one alternative aspect, the receptacle may have a preformed central hole and vents about the periphery of the cavity. A cover may be removably attached to the top end of the receptacle. In this way, after the receptacle has been inserted into the aerosolizing apparatus, the cover may be pulled from the receptacle to permit the extraction tube to be inserted into the central hole and to expose the vents.

## 20 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a top view of one embodiment of a receptacle for holding a powder according to the invention.

Fig. 2 is a cross sectional side view of the receptacle of Fig. 1, taken along  
25 lines 2-2.

Fig. 3 is a perspective view of the receptacle of Fig. 1 showing vents formed in a top end and an extraction tube that has been inserted into the top end according to one embodiment of the invention.

Fig. 4 is a schematic side view of an exemplary method for extracting  
30 powder from the receptacle of Fig. 3 according to the invention.

Fig. 5 is a partial top view of another embodiment of a receptacle having curved tabs or foils to produce a vortex in the receptacle when extracting powder according to the invention.

Fig. 6 is a partial cross sectional side view of one of the tabs of the receptacle of Fig. 5.

Fig. 7 is a top view of an alternative embodiment of a receptacle according to the invention.

5 Fig. 8A is a cross sectional side view of the receptacle of Fig. 7 taken along lines A-A.

Fig. 8B is a cross sectional side view of the receptacle of Fig. 7 taken along lines B-B.

10 Fig. 9 is a perspective view of a receptacle into which an extraction tube is inserted and illustrates the various flow areas through which the air flows when extracting the powder from the receptacle according to the invention.

Fig. 10 is a schematic side view of one embodiment of an aerosolizing device that may be employed to aerosolize a powder according to the invention.

15 Fig. 11 is a schematic side view of a receptacle and an extraction tube and illustrates an alternative technique for extracting the powder according to the invention.

Fig. 12 is a schematic side view of an alternative embodiment of an aerosolizing device according to the invention.

Fig. 13 is a top view of still another alternative embodiment of a receptacle having a removable cover according to the invention.

20 Fig. 14 is a cross sectional side view of the receptacle of Fig. 13.

Fig. 15 is a schematic side view of a breath actuated aerosolizing device according to the invention.

## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

25 The invention provides exemplary techniques and equipment for extracting powder that is held within a receptacle, typically within a sealed cavity. In one aspect, the powder extracted is entrained in a high pressure gas stream to aerosolize the powder so that it will be suitable for inhalation by a patient. The invention may be utilized with essentially any type of receptacle within which the powder is sealed. Merely by way of  
30 example, one type of receptacle that may be utilized with the invention are widely available "blister packs". Examples of other types of receptacles are described in U.S. Patent No. 5,740,794, previously incorporated by reference. However, it will be appreciated that the invention is not intended to be limited to these specific types of receptacles.

The powders of the invention may be extracted by creating an opening or access way into the receptacle and then flowing air or other gases through the receptacle to move the powder out of the access way. Conveniently, one or more vents may also be created in the receptacle to facilitate the flow of air through the receptacle. One  
5 exemplary way to draw air through the receptacle is by use of an extraction tube that is inserted into the cavity. A high pressure gas stream is flowed through at least a portion of the extraction tube to cause air in the receptacle to be drawn into the bottom end of the extraction tube where the powder is entrained in the high pressure gas stream to form an aerosol. Examples of techniques that employ the use of such an extraction tube are  
10 described in U.S. Patent No. 5,740,794, previously incorporated by reference. Further, a variety of techniques may be employed to create the high pressure gas stream to cause the air to be drawn through the receptacle. For example, various techniques for producing the high pressure gas stream are described in U.S. Patent No. 5,740,794 and copending U.S. Patent Application Serial Nos. 09/004,558, 09/312,434, 60/136,518 and 60/141,793,  
15 previously incorporated herein by reference. Gases that may be used to produce the gas stream include air, CO<sub>2</sub>, HFCs, CFCs, and the like.

To draw air through the receptacle and into the bottom end of the extraction tube, the high pressure gas stream may be introduced into the extraction tube at a location that is spaced apart from the bottom end. For example, the high pressure gas  
20 stream may be introduced into the extraction tube at an acute angle as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. Alternatively, a hole may be formed in the bottom end of the receptacle along with one or more vents, and the extraction tube inserted into the top end of the receptacle so that it is generally aligned with the hole. The high pressure gas stream may then be flowed through the hole and into  
25 the extraction tube to cause air to be drawn through the vents, through the receptacle and into the bottom end of the extraction tube.

Alternatively, the invention may utilize a patient's own inhalation to produce a gas stream. For example, the invention may utilize a mouthpiece over which the patient's mouth is placed. As the patient inhales, a vacuum is created to produce a gas  
30 stream that flows through the receptacle as described above.

A variety of schemes may be employed, alone or in combination, to facilitate the extraction of the powder using air flowing through the receptacle. For example, one technique employs the use of air or other gases to uniformly "scrub" the sides of the cavity. More specifically, the air may be flowed adjacent an interior wall or



walls until the air exits the receptacle through the extraction tube. In this way, a shear stress is provided along substantially the entire length of the interior wall to assist in removing any powder that adheres to the wall so that it may be moved into the extraction tube. The walls may be constructed with a variety of geometries to facilitate a laminar flow across the walls so that the flow will not become separated from the walls as it flows through the receptacle. In this way, a uniform, "scrubbing" of the walls is provided. Merely by way of example, one convenient way to form the walls is to provide them with a degree of curvature so that they continuously curve up to the extraction tube. Such a continuous curved surface allows for a laminar flow along substantially the entire length of the walls and up to the extraction tube. The curvature of the walls also tends to induce instabilities that are manifested as a plurality of counter-rotating vortices, sometimes referred to as Taylor-Goertler vortices, having axes of rotation locally parallel to the curved walls. These vortices serve to scrub the walls of powder. Conveniently, the receptacle may include a raised region at a center of the receptacle so that the walls slope up to the extraction tube. In this way, no dead space is provided in the middle of the receptacle and the flow remains adjacent to the walls until exiting through the extraction tube.

Another technique to facilitate removal of the powder is to accelerate the flow of air through the receptacle. One convenient way to accelerate the air flow is to progressively decrease the area through which the air passes as it flows through the receptacle and out of the extraction tube. By progressively reducing the flow area, the air is accelerated as it flows through the receptacle and into the extraction tube.

Still another technique for facilitating the removal of the powder is to create a vortex in the cavity to permit the air to sweep the sides of the receptacle as it spins through the cavity and up into the extraction tube. Conveniently, curved edges, tabs or foils may be formed in the top end of the receptacle to initiate the vortex when air is drawn into the receptacle.

Another feature of the invention is that the receptacles may be constructed to have preformed holes and/or vents. In this way, the top surface of the receptacle does not need to be pierced when inserting the extraction tube or forming the vents. Conveniently, a removable cover may be placed on top of the receptacle. After insertion into an aerosolizing apparatus, the cover may be pulled from the receptacle to expose the holes and/or vents. The extraction tube may then be inserted into the receptacle and the powder extracted as described herein.

Referring now to Figs. 1 and 2, one embodiment of a receptacle 10 will be described. Receptacle 10 comprises a receptacle body 12 having a top end 14 and a bottom end 16 (see Fig. 2). Conveniently, a tab 18 may be provided to facilitate handling of receptacle 10. Receptacle body 12 defines a cavity 20 into which a powder is sealed.

5 Conveniently, receptacle body 12 may be constructed from essentially any type of material that is compatible with the powder held within cavity 20. Examples of materials that may be used include metals, such as aluminum, composites, plastics, and the like. One convenient way to construct receptacle 10 is to provide a thin strip of metal or composite and then pressing cavity 20 using a dye. Another thin strip of metal may then  
10 be attached to the strip having the cavity to enclose and seal the cavity. Conveniently, ultrasonic welding or heat sealings may be employed to adhere the two metal strips together. However, it will be appreciated that other techniques and materials may be employed to construct receptacle 10. Further, a number of receptacles may be formed as a single string for multi-dose aerosolizers.

15 Cavity 20 has a generally circular outer periphery 22 and is formed of a continuously curved wall 24 that forms a raised central region 26 at or near a center of the receptacle. In this way, a generally semi-toroidal interior is formed.

Referring now to Fig. 3, an extraction tube 28 is shown inserted into cavity 20. Extraction tube 28 has a bottom end 30 that is generally aligned with raised central  
20 region 26 when inserted into receptacle 10. Conveniently, bottom end 30 of extraction tube 28 may include a sharpened edge to facilitate its entry into cavity 20. Alternatively, a preformed hole may be formed in top end 14 to permit entry of extraction tube 28 into cavity 20. Bottom end 30 is positioned so that it is spaced apart from bottom end 16 of cavity 20. In this way, a gap is provided between bottom end 30 and bottom end 16 to  
25 permit air to flow between the gap. Also shown in Fig. 3 are a plurality of vents 32 that are formed about periphery 22. In one aspect, vents 32 may be formed such that they are spaced close to each other in an attempt to form an annulus about periphery 22. In this way, air may be introduced into cavity 20 about essentially the entire periphery 22.

Referring now to Fig. 4, one technique for extracting powder from  
30 receptacle 10 using extraction tube 28 will be described. A high pressure gas stream (not shown) is flowed past a portion of extraction tube 28 at a location spaced above bottom end 30 as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. This causes air to be drawn into receptacle 10 through vents 32 as illustrated by the arrows. The air is flowed through cavity 20 until entering bottom end 30 where it

proceeds through extraction tube 28. Eventually, the air containing the powder is joined with the high pressure gas stream that deagglomerates the powder and entrains the powder in the gas stream to form an aerosol.

As shown in Fig. 4, wall 24 has a continuous curvature so that the air  
5 flowing through cavity 20 remains generally adjacent wall 24 with a laminar flow. In this way, a shear stress is created along substantially the entire length of wall 24 to remove any adhered powder along wall 24. Further, central region 26 directs the air up to bottom end 30 so that essentially no dead volume exists within cavity 20. In this manner, substantially all the powder is drawn into extraction tube 30. It will be appreciated that  
10 the size of cavity 20 as well as the degree of curvature of wall 24 may be varied depending on a variety of features, including, for example, the volume and rate of air flow through cavity 20, the amount and type of powder held within cavity 20, and the like.

Referring now to Figs. 5 and 6, another embodiment of a receptacle 34  
will be described. Receptacle 34 comprises a receptacle body 36 that forms a cavity 38  
15 (shown in phantom line). Cavity 38 has a generally circular outer periphery 40 and may optionally include a raised central region as described in connection with other embodiments. Formed in a top end 42 of receptacle body 36 are a plurality of vents 44. A central hole 46 is also formed in top end 42 and is configured to receive an extraction tube. In this way, air may be drawn through vents 44, through cavity 38, and into the  
20 extraction tube where it is extracted from the receptacle in a manner similar to that previously described with other embodiments.

One feature of receptacle 34 is that it includes curved foils 48 at each of the vents 44. As best shown in Fig. 6, foils 48 extend into cavity 38 so as to produce a vortex within cavity 38 when air is drawn in through vents 44. This is illustrated  
25 generally by the arrows in Fig. 5. By creating a vortex within cavity 38, the air flow is swirled around the interior walls that define cavity 38 to assist in removing powder that is adhered to the walls. Advantageously, the vortex results in an acceleration of the air to further assist in removing powder from the receptacle. Further, large powder agglomerates within cavity 38 may be caught in the vortex and thrown radially outward  
30 because of centripetal acceleration coincident with their larger mass relative to smaller powder particles. In this way, the larger agglomerates are statistically more likely to strike the side of the tube to induce deagglomeration.

Figs. 7, 8A and 8B illustrate another embodiment of a receptacle 50. Receptacle 50 comprises a receptacle body 52 having a top end 54, a bottom end 56 and a

tab 58. Receptacle body 52 defines a cavity 60 into which a powder is held. Cavity 60 is defined by two side walls 62 and two end walls 64 to form a "bow tie" configuration. A raised central region 66 extends up into cavity 60 in a manner similar to raised central region 26 of receptacle 10.

5                   To extract powder from receptacle 50, an extraction tube (not shown) may be inserted through top end 54 and aligned above raised central region 66 in a manner similar to that previously described in connection with receptacle 10. Vents may then be formed in top end 54 adjacent curved walls 64. In this manner, air will be drawn through the vents and along curved wall 64 where the air will be funneled by raised central region  
10 66 into the bottom end of the extraction tube. By providing curved walls 64, the air flow will tend to flow along the walls to assist in removing powder that adheres to the walls in a manner similar to that previously described in connection with receptacle 10.

                  Another technique that may be employed to facilitate extraction of the powder is by accelerating the flow of air through the cavity. Fig. 9 illustrates one  
15 technique for accelerating the flow of air through the cavity. Shown in Fig. 9 is a receptacle 68 comprising a receptacle body 70 having a top end 72 and a bottom end 74. Receptacle body 68 forms a cavity 76 that is defined by an interior wall 78. Cavity 76 may be configured to be generally open or may have a raised central region as previously described in connection with other embodiments. A plurality of vents 79 are formed in  
20 top end 72 about a periphery of cavity 76. A center hole 80 is also provided in top end 72 to permit an extraction tube 82 to be inserted into cavity 76 as shown. Extraction tube 82 has a bottom end 84 and a top end 86. Optionally, extraction tube 82 may have a reduced cross sectional area at top end 86 to facilitate aerosolization of the powder as described generally in U.S. Patent No. 5,740,794, previously incorporated by reference. Although  
25 not shown, it will be appreciated that a high pressure gas stream may be flowed past a portion of extraction tube 82 at a location spaced apart from bottom end 84 in a manner similar to that previously described. Alternatively, the high pressure gas stream may be flowed through a hole in bottom end 74 and then into extraction tube 82, as described hereinafter. In either case, the use of such a high pressure gas stream causes air to be  
30 drawn into cavity 76 through vents 79 where the powder is moved into extraction tube 82 through its bottom end 84 where it is entrained in the high pressure gas stream and aerosolized.

Each of vents 79 forms a flow area  $A_i$ . When summed together, areas  $A_i$  form a total input flow area  $A_I$ . As the air passes through cavity 76, it flows through a

gap created between bottom end 84 of extraction tube 82 and bottom end 74 of cavity 76. This flow area may be calculated by multiplying the distance of the gap by the circumference of extraction tube 82 at bottom end 84. This area is referred to as the gap area  $A_G$ . As the air flows through extraction tube 82, the flow area is restricted near top  
5 end 86 as shown. This area is the cross sectional area  $A_O$  of the extraction tube. To accelerate the flow of air through cavity 76, areas  $A_I$ ,  $A_G$  and  $A_O$  may be configured such that  $A_I > A_G > A_O$ . In this way, the flow area is progressively decreased as it passes through the system. As such, the flow of air is accelerated as it passes through cavity 76. Although a variety of area ratios may be employed, one particular ratio is where  $A_I = 2$ ,  
10  $A_G = 1.5$ , and  $A_O = 1$ . However, it will be appreciated that other ratios may be employed.

Referring now to Fig. 10, one embodiment of an aerosolization apparatus 90 will be described. Apparatus 90 comprises a base 92 that forms a housing for various components of apparatus 90. Enclosed in base 92 is a holder 94 for holding a receptacle. For convenience of illustration, receptacle 10 of Fig. 1 is shown held within base 92.  
15 However, it will be appreciated that other types of receptacles may be utilized with apparatus 90. A button 96 is provided on holder 94 to permit holder 94 to move up and down within base 92 as indicated by the arrows. As shown, holder 94 has a generally flat surface. As previously described, receptacle body 12 may be included with a flat portion on bottom end 16 to facilitate its placement onto holder 94. However, it will be  
20 appreciated that holder 94 may be constructed to have different geometries to facilitate holding of receptacle 10, as well as to facilitate introduction and removal of receptacle 10.

Positioned above receptacle 10 is an aerosolizing mechanism 98 that includes an extraction tube 100 that is insertable into cavity 20 of receptacle 10. Optionally, a bottom end 102 of extraction tube 100 may include a sharpened edge or  
25 other piercing structure to form a hole in the top end of receptacle 10 to facilitate its introduction into cavity 20. Joining extraction tube 100 at an acute angle relative to a central axis of extraction tube 100 (and relative to bottom end 102) are a pair of channels 104. A pressure source 106 is employed to produce a high pressure gas stream within channels 104. The high pressure gas stream is introduced into extraction tube 100 to  
30 cause air to be drawn into bottom end 102 from cavity 20 as described generally in U.S. Patent No. 5,740,794, previously incorporated herein by reference. Pressure source 106 may be any one of a variety of pressure sources, including manually activated pistons, compressed gases, fluorocarbons, and the like as described generally in the patents and patent applications previously incorporated herein by reference. Hence, it will be

appreciated that pressure source 106 is merely being shown schematically for convenience of illustration. Although not shown, an actuating mechanism may be employed to release the pressurized gas from pressure source 106 when a patient is ready to produce the aerosolized medicament.

5                   Aerosolization mechanism 98 includes a bottom end 108 that serves as a stop or a flow insert to control the gap between bottom end 102 of extraction tube 100 relative to bottom end 16 of receptacle 10. In this way, when button 96 is moved upward, the top end of receptacle 10 will engage bottom end 108 to fix the distance of extraction tube 100 relative to the bottom end of receptacle 10. Use of such a flow insert is  
10                   advantageous in that the gap area  $A_G$  (see Fig. 9) may be precisely controlled to facilitate the acceleration of air through cavity 20 in a manner similar to that previously described in connection with Fig. 9.

                  Extending from bottom end 108 are a plurality of piercing elements 110 that are configured to produce vents in receptacle 10 about the periphery of cavity 20. In  
15                   this way, air may be drawn through the vents when the high pressure gases stream is released from pressure source 106.

                  Coupled to base 92 is a capture chamber 112. Capture chamber 112 is configured to capture the aerosolized medicament exiting extraction tube 100 in a manner similar to that described in connection with the patents and patent applications  
20                   incorporated herein by reference. Capture chamber 112 includes a mouthpiece 114 through which the patient may inhale the captured medicament.

                  Hence, apparatus 90 may be employed to aerosolize a medicament by inserting receptacle 10 into base 92. Holder 94 is then raised to insert extraction tube 102 into cavity 20 and to cause piercing elements 110 to form vents in receptacle 10. Pressure  
25                   source 106 is actuated to release an amount of pressurized gas which causes air to be drawn into and through the vents and along the walls of cavity 20 until entering into extraction tube 100. As the powder is moved into extraction tube 100, it encounters the high pressure gas stream which deagglomerates the powder and ejects the powder into capture chamber 112 in an aerosolized form. Although holder 94 is shown to move  
30                   vertically upward, it will be appreciated that extraction tube 100 and/or piercing elements 110 may be configured to be moved downward to be inserted into cavity 20. Further, alternative aerosolizing mechanisms 98 may be employed as described herein.

                  Referring now to Fig. 11, an alternative technique for drawing air through a receptacle to move powder within the receptacle into an extraction tube will be

described. Shown schematically in Fig. 11 is a receptacle 116 having a top end 118 and a bottom end 120. Receptacle 116 includes a cavity 122 having a raised central region 124. However, it will be appreciated that the technique described in connection with Fig. 11 may be employed with other cavity designs, including those that do not utilize a raised central region. A plurality of vents 126 are formed in top end 118 to permit air to be drawn into cavity 122. An extraction tube 128 is inserted into cavity 122, with a bottom end 130 being spaced apart from raised central region 124 as shown. A bottom hole 132 is formed in bottom end 120 of receptacle 116. In this way, a high pressure gas stream may be flowed through bottom hole 132 and then through bottom end 130 of extraction tube 128, as shown by the arrows. In so doing, air is drawn through vents 126 and through cavity 122 where it enters bottom end 130 of extraction tube 128 as shown by the arrows. As the air flows through cavity 122, it moves the powder into extraction tube 128 in a manner similar to that previously described in connection with other embodiments.

Shown schematically in Fig. 12 is an aerosolizing apparatus 134 that may be employed to aerosolize a powdered medicament using the techniques just described in connection with Fig. 11. Apparatus 134 comprises a base 136 having a holder 138 for holding a receptacle 140. Holder 138 includes a knob 142 to permit receptacle 140 to be moved up and down as shown by the arrows. Also included within base 136 is an extraction tube 144 having a bottom end 146. By moving knob 142, extraction tube 144 may be inserted into receptacle 140 as shown. Alternatively, extraction tube 144 may be constructed to be movable so that it may be moved into receptacle 140.

Positioned below holder 138 is a pressure source 148 and an introduction tube 150. Pressure source 148 and/or introduction tube 150 may be moved vertically upward as illustrated by the arrows to pierce receptacle 140 and insert introduction tube 150 into or adjacent to receptacle 140. An amount of pressurized gas may then be released from pressure source 150 where it flows through the hole in the bottom end of receptacle 140 and into bottom end 146 of extraction tube 144. As one alternative, holder 142 may be lowered while pressure source 148 is kept stationary to form the hole in the bottom end of receptacle 140. Although not shown, it will be appreciated that a piercing mechanism may be employed to form one or more vents in receptacle 140 (or receptacle 140 may include pre-formed vents). In this way, outside air may flow into the receptacle through the vents to assist in moving powder into tube 144.

Positioned on base 136 is a capture chamber 152 having a mouthpiece 154. With such a configuration, receptacle 140 may be placed into holder 138 and extraction

tube 144 inserted into receptacle 140. A hole may then be formed in the bottom end of receptacle 140 and a pressurized gas from pressure source 148 released to cause a high pressure gas stream to flow through extraction tube 144. In so doing, air is drawn into and through the receptacle and into extraction tube 144 where the powder is aerosolized and ejected into capture chamber 152.

Shown in Figs. 13 and 14 is an alternative embodiment of a receptacle 156. Receptacle 156 comprises a receptacle body 158 having a top end 160 and a bottom end 162. Receptacle body 158 forms a cavity 164 that holds a powder 166. Extending from cavity 164 is a tab 168 to facilitate handling of the receptacle. Formed about a periphery of cavity 164 are a plurality of vents 170 that extend through top end 160. Extending through top end 160 at a center of cavity 164 is a hole 172 that is adapted to receive an extraction tube (not shown) in a manner similar to that described with previous embodiments.

Secured to top end 160 at a location above cavity 164 is a cover 174. Cover 174 is secured to top end 160 in a manner such that vents 170 and hole 172 is covered to seal powder 166 within cavity 164. As shown, cavity 164 includes a raised central region 176. However, it will be appreciated that cavity 164 may be constructed to have essentially any geometry. Cover 174 is folded over itself and extends back over tab 168. In this way, cover 174 will generally extend outside of an aerosolization apparatus. As such, when the user is ready to aerosolize the medicament, receptacle 156 is inserted into the aerosolization apparatus and cover 174 is pulled from top end 160. In this way, vents 170 and hole 172 are exposed. An extraction tube may then be inserted through hole 172 in a manner similar to that previously described. By preforming vents 170 and 172, top end 160 will not need to be pierced while within the aerosolization apparatus.

Shown schematically in Fig. 15 is an aerosolizing apparatus 200 that may be employed to aerosolize a powdered medicament using a gas flow created by the patient's own inhalation. Apparatus 200 comprises a base 202 having a holder 204 for holding a receptacle 206, which is representative of any of the receptacles described herein. Holder 204 includes a knob 208 to permit receptacle 206 to be moved up and down as shown by the arrows. Also included within base 202 is an extraction tube 210 having a bottom end 212. By moving knob 208, extraction tube 210 may be inserted into receptacle 206 as shown. Alternatively, extraction tube 210 may be constructed to be movable so that it may be moved into receptacle 206. Conveniently, a piercing mechanism 214 may be positioned below holder 204 to permit a hole to be pierced in the



bottom of receptacle 206 when knob 208 is lowered. Positioned on top of base 202 is a mouthpiece 216 over which a patient's mouth may be placed when ready to receive a dose of medication.

In use, receptacle 206 is placed into base 202 so as to be resting within  
5 holder 204. Knob 208 is then lowered to pierce a hole in the bottom of receptacle 206. Knob 208 is then raised to insert bottom end 212 of extraction tube 210 into the top of receptacle 206 in a manner similar to that described with other embodiments. The patient then places his or her mouth over mouthpiece 216 and inhales. This causes air to be drawn through the hole in the bottom of receptacle 206 and draws air through vents in the  
10 top end of receptacle 206 in a manner similar to other embodiments described herein. The powder drawn into extraction tube 210 then flows upward through mouthpiece 216 and into the patient's lungs.

Optionally, extraction tube 210 may have one or more bends 218 to facilitate powder deagglomeration as the powder passes through extraction tube 210. As  
15 another option, one or more obstacles 220 may be placed into extraction tube 210 to facilitate powder deagglomeration. Further, it will be appreciated that bends and obstacles may be provided in the extraction tubes of the other aerosolization devices described herein.

The invention has now been described in detail for purposed of clarity of  
20 understanding. However, it will be appreciated that certain changes and modifications may be practiced within the scope of the appended claims.

WHAT IS CLAIMED IS:

1                   1.       A receptacle for holding fine powders, the receptacle comprising:  
2                   a receptacle body that defines an enclosed cavity, wherein the receptacle  
3       body has a top end and a bottom end, and wherein the bottom end of the receptacle body  
4       includes a raised central region that extends upwardly into the cavity.

1                   2.       A receptacle as in claim 1, wherein the receptacle body further  
2       comprises at least one curved wall that in combination with the raised central region  
3       forms a generally semi-toroidal geometry in the cavity.

1                   3.       A receptacle as in claim 1, wherein a portion of the bottom end is  
2       flat in geometry.

1                   4.       A receptacle as in claim 1, wherein the receptacle body further  
2       includes a tab extending from the cavity

1                   5.       A receptacle as in claim 1, further comprising a central hole in the  
2       top end and multiple vents, and a cover removably attached to the top end to cover the  
3       hole and the vents.

1                   6.       A method for aerosolizing a powder, the method comprising:  
2                   providing a receptacle having a top end, a bottom end, and a cavity  
3       containing a powder;  
4                   inserting a bottom end of an extraction tube into the cavity such that the  
5       bottom end of the extraction tube is spaced above the bottom end of the receptacle;  
6                   forming at least one vent in the cavity;  
7                   forming a hole in the bottom end of the cavity; and  
8                   flowing a gas stream through the hole in the bottom end of the receptacle  
9       and through at least a portion of the extraction tube to cause air to be drawn through the  
10       vent and then through the cavity to move the powder in the cavity into the extraction tube  
11       where the powder is entrained in the high pressure gas stream to form an aerosol.

1                   7.       A method as in claim 6, wherein the bottom end of the receptacle  
2       includes a raised central region that extends upwardly into the cavity, and further  
3       comprising aligning the extraction tube with the raised central region such that the bottom  
4       end of the extraction tube is spaced apart from the raised central region.

1                   8.     A method as in claim 6, further comprising capturing the  
2 aerosolized powder in a capture chamber.

1                   9.     A method as in claim 6, further comprising forming multiple vents  
2 in the top end of the receptacle about a periphery of the cavity such that air is drawn  
3 through substantially all of the cavity to remove the powder.

1                   10.    A method as in claim 6, further comprising forming tabs in the top  
2 end of the receptacle body that extend into the cavity to create a vortex within the cavity  
3 as the air flows through the cavity.

1                   11.    A method as in claim 6, further comprising a patient inhaling to  
2 produce the gas stream.

1                   12.    A method as in claim 6, further comprising releasing an amount of  
2 pressurized gas to produce the gas stream.

1                   13.    A method for aerosolizing a powdered medicament, the method  
2 comprising:  
3                   providing a receptacle comprising a receptacle body that defines an  
4 enclosed cavity, wherein the receptacle body has a top end and a bottom end, and wherein  
5 the bottom end of the receptacle body includes a raised central region that extends  
6 upwardly into the cavity;  
7                   inserting a bottom end of an extraction tube into the cavity such that the  
8 bottom end of the extraction tube is aligned with the raised central region and is spaced  
9 above the bottom end of the receptacle;  
10                  forming vents in the top end of the receptacle about a periphery of the  
11 cavity; and  
12                  flowing a gas stream through a least a portion of the extraction tube to  
13 draw air through the vents and then through the cavity to move the powder in the cavity  
14 into the extraction tube where the powder is entrained in the gas stream to form an  
15 aerosol.

1                   14.     A method as in claim 13, wherein the receptacle includes a curved  
2 wall, and wherein the air flows along the wall to remove substantially all powder from the  
3 receptacle.

1                   15.     A method as in claim 13, wherein the air drawn by the gas stream  
2 flows through a flow area, and further comprising reducing the flow area as the air flows  
3 through the receptacle and the extraction tube to accelerate the flow of air through the  
4 receptacle.

1                   16.     A method as in claim 15, wherein the vents form a first flow area,  
2 wherein a gap between the extraction tube and the bottom end of the receptacle defines a  
3 second flow area, and wherein a cross section of the extraction tube defines a third flow  
4 area, and wherein the first flow area is greater than the second flow area, and wherein the  
5 second flow area is greater than the third flow area.

1                   17.     A method as in claim 16, wherein the ratio of the first flow area to  
2 the second flow area and to the third flow area is about 2.0:1.5:1.0.

1                   18.     A method as in claim 13, further comprising piercing a hole  
2 through the top end of the receptacle and inserting the extraction tube into the cavity  
3 through the hole in the top end.

1                   19.     A method as in claim 13, further comprising introducing the gas  
2 stream into the extraction tube at a location spaced apart from the bottom end of the  
3 extraction tube.

1                   20.     A method as in claim 13, further comprising forming a hole in the  
2 bottom end of the receptacle body, and flowing the gas stream through the hole in the  
3 bottom end.

1                   21.     A method as in claim 13, further comprising a patient inhaling to  
2 produce the gas stream.

1                   22.     A method as in claim 13, further comprising releasing an amount  
2 of pressurized gas to produce the gas stream.

1                   23.     A method for aerosolizing a powdered medicament, the method  
2     comprising:

3                   providing a receptacle comprising a receptacle body that defines an  
4     enclosed cavity, wherein the receptacle body has a top end and a bottom end;

5                   inserting a bottom end of an extraction tube into the cavity such that the  
6     bottom end of the extraction tube is spaced above the bottom end of the receptacle;

7                   forming vents in the top end of the receptacle about a periphery of the  
8     cavity; and

9                   flowing a gas stream through a least a portion of the extraction tube to  
10    draw air through the vents and then through the cavity to move the powder in the cavity  
11    into the extraction tube where the powder is entrained in the gas stream to form an  
12    aerosol; and wherein the air drawn by the gas stream flows through a flow area, and  
13    further comprising reducing the flow area as the air flows through the receptacle and the  
14    extraction tube to accelerate the flow of air through the receptacle.

1                   24.     A method as in claim 23, further comprising a patient inhaling to  
2     produce the gas stream.

1                   25.     A method as in claim 23, further comprising releasing an amount  
2     of pressurized gas to produce the gas stream.

1                   26.     A method as in claim 23, wherein the vents form a first flow area,  
2     wherein a gap between the extraction tube and the bottom end of the receptacle defines a  
3     second flow area, and wherein a cross section of the extraction tube defines a third flow  
4     area, and wherein the first flow area is greater than the second flow area, and wherein the  
5     second flow area is greater than the third flow area.

1                   27.     A method as in claim 23, wherein the receptacle further comprises  
2     a curved wall, and wherein the bottom end of the receptacle body includes a raised central  
3     region that extends upwardly into the cavity to provide a generally laminar air flow along  
4     the wall.

1                   28.     An apparatus for aerosolizing a powdered medicament, the apparatus  
2     comprising:

3                   a housing having a holder that is adapted to receive a receptacle having a  
4   cavity that holds a powder;  
5                   a piercing mechanism that is adapted to pierce a hole in a bottom end of  
6   the receptacle;  
7                   a vent forming mechanism for forming multiple vents in a top end of the  
8   receptacle; and  
9                   an extraction tube that is adapted to be placed into the cavity so as to be  
10   spaced above the bottom end of the receptacle and to be aligned with the hole in the  
11   bottom end.

1                   29.    An apparatus as in claim 28, further comprising a mouthpiece  
2   coupled to the housing that is adapted to receive a patient's mouth to permit the patient to  
3   produce a gas stream that is flowed through the hole in the bottom end of the receptacle  
4   and into extraction tube to draw the powder from the cavity and into the extraction tube  
5   where the powder is entrained in the gas stream to form an aerosol

1                   30.    An apparatus as in claim 28, further comprising a flow insert to  
2   control spacing of the extraction tube relative to the receptacle.

1                   31.    A system for aerosolizing a powdered medicament, the system  
2   comprising:  
3                   at least one receptacle that comprises a receptacle body that defines an  
4   enclosed cavity, wherein the receptacle body has a top end and a bottom end, and wherein  
5   the bottom end of the receptacle body includes a raised central region that extends  
6   upwardly into the cavity; and  
7                   an aerosolizing apparatus having a holder for holding the receptacle, an  
8   extraction tube that is insertable into the cavity, a vent forming device to form multiple  
9   vents in the top end of the receptacle about a periphery of the cavity.

1                   32.    A system as in claim 32, further comprising a pressure source for  
2   producing a high pressure gas stream within at least a portion of the extraction tube to  
3   draw air through the vents to move the powder from the cavity and into the extraction  
4   tube where the powder is entrained in the high pressure gas stream to form an aerosol.

1                   33.    A system as in claim 32, further comprising a flow insert to control  
2   spacing of the extraction tube relative to the receptacle.

1                   34.     A system as in claim 32, wherein a portion of the bottom end of the  
2     receptacle is flat in geometry.

1                   35.     A powder extraction system, comprising:  
2                   at least one receptacle that defines an enclosed cavity that holds a powder,  
3     wherein the receptacle has a top end and a bottom end;  
4                   a holder to hold the receptacle;  
5                   an extraction tube that is insertable into the cavity;  
6                   a vent forming device to form vents in the top end of the receptacle,  
7     wherein the vents form a first flow area, wherein a gap between the extraction tube and  
8     the bottom end of the receptacle defines a second flow area, and wherein a cross section  
9     of the extraction tube defines a third flow area, and wherein the holder is configured to  
10    move the receptacle relative to the bottom end of the extraction tube such that the first  
11    flow area is greater than the second flow area, and wherein the second flow area is greater  
12    than the third flow area to accelerate a gas flowing through the receptacle.

1                   36.     A system as in claim 36, further comprising a pressure source for  
2     producing a high pressure gas stream within at least a portion of the extraction tube to  
3     draw air through the vents to move the powder from the cavity and into the extraction  
4     tube where the powder is entrained in the high pressure gas stream to form an aerosol

1                   37.     A system as in claim 36, wherein the receptacle includes a curved  
2     wall, and wherein the bottom end of the receptacle includes a raised central region that  
3     extends upwardly into the cavity.

1/6

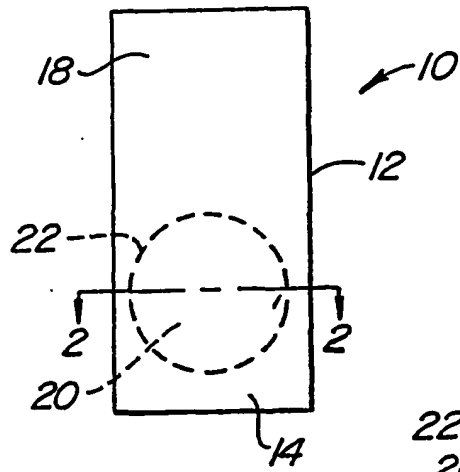


FIG. 1.

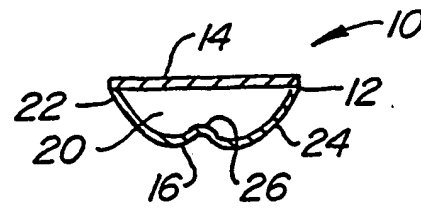


FIG. 2.

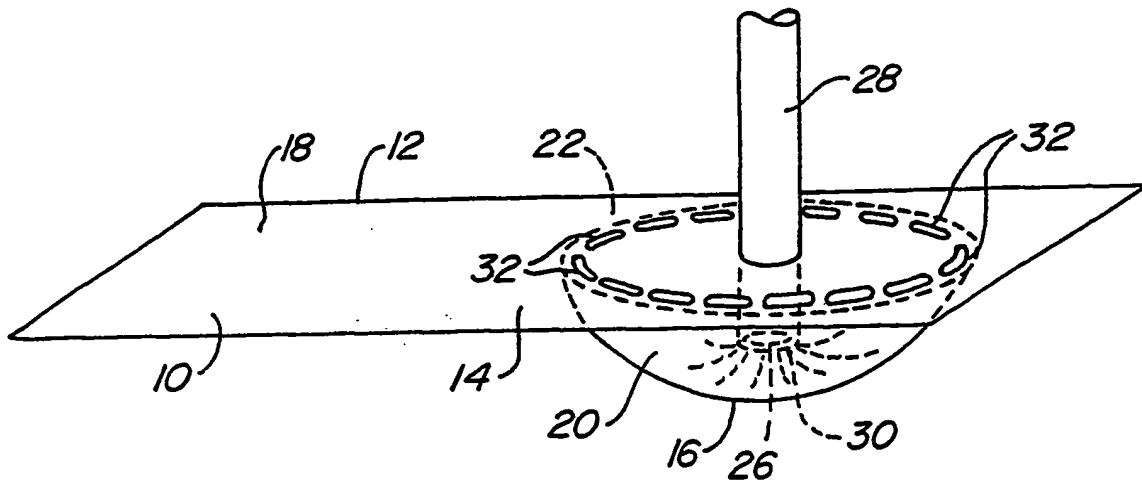


FIG. 3.

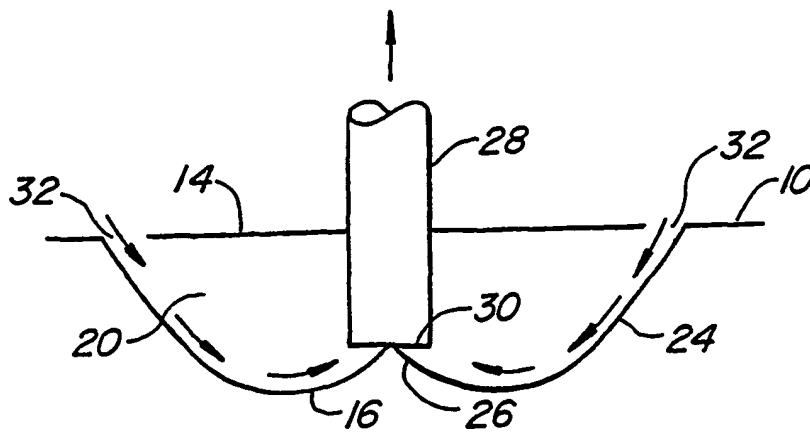
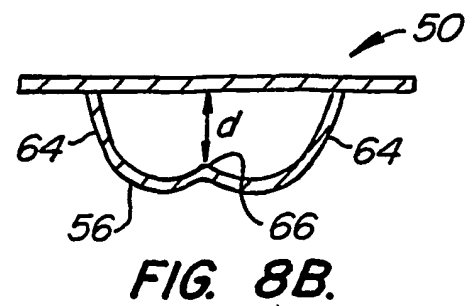
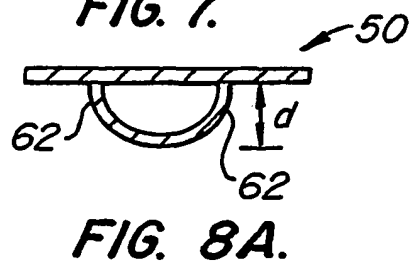
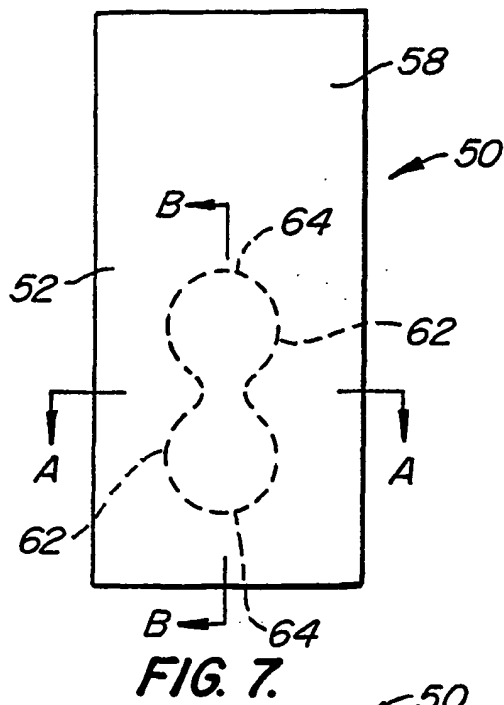
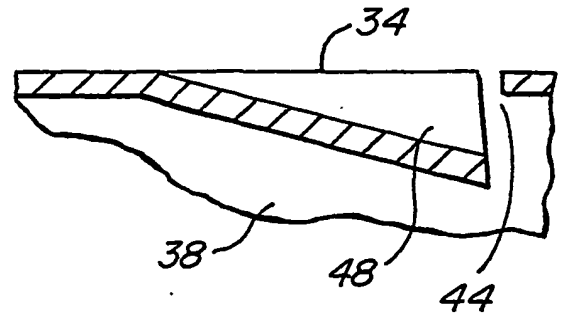
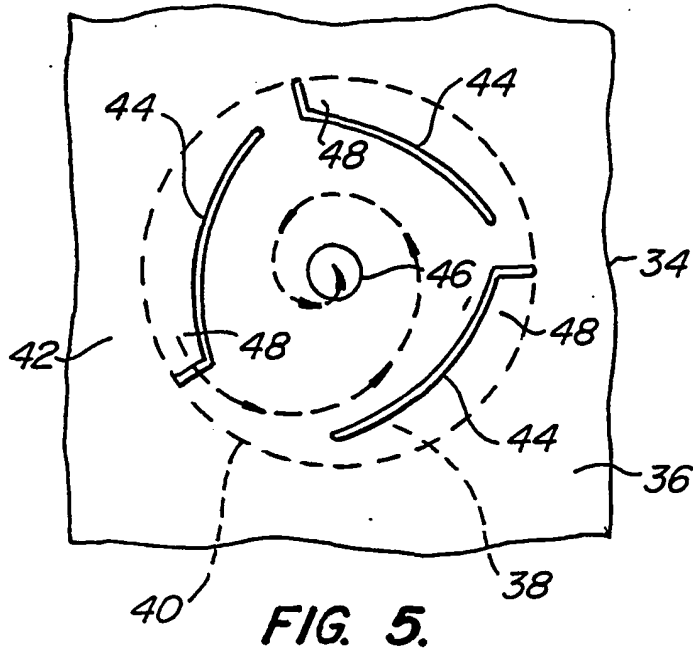


FIG. 4.



2/6



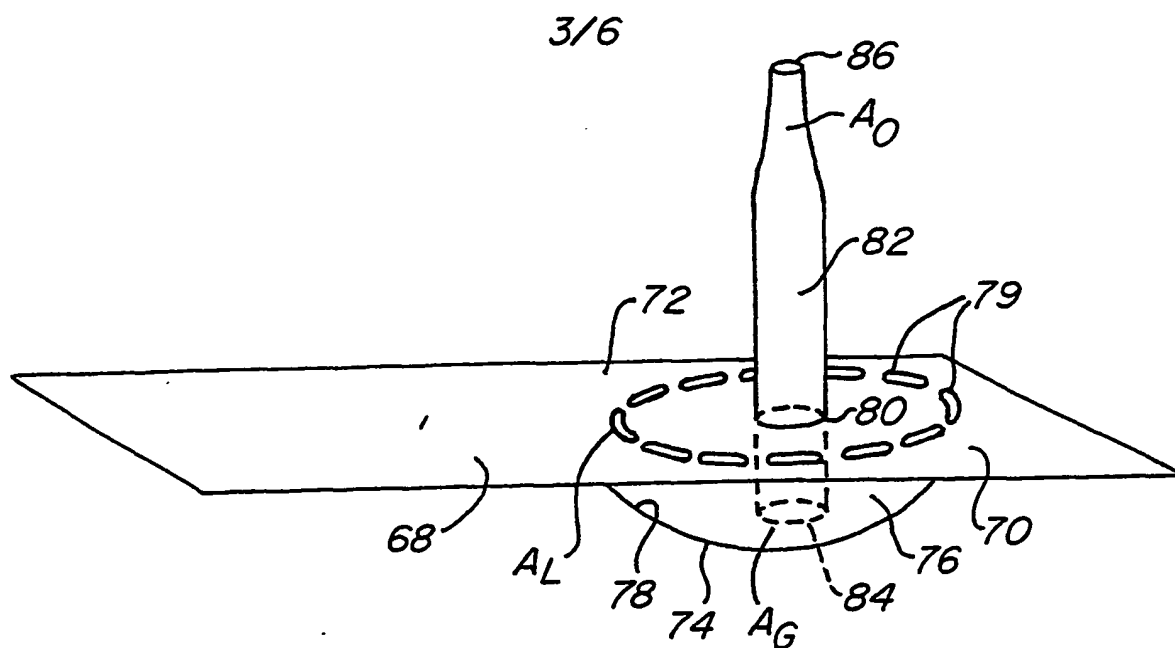


FIG. 9.

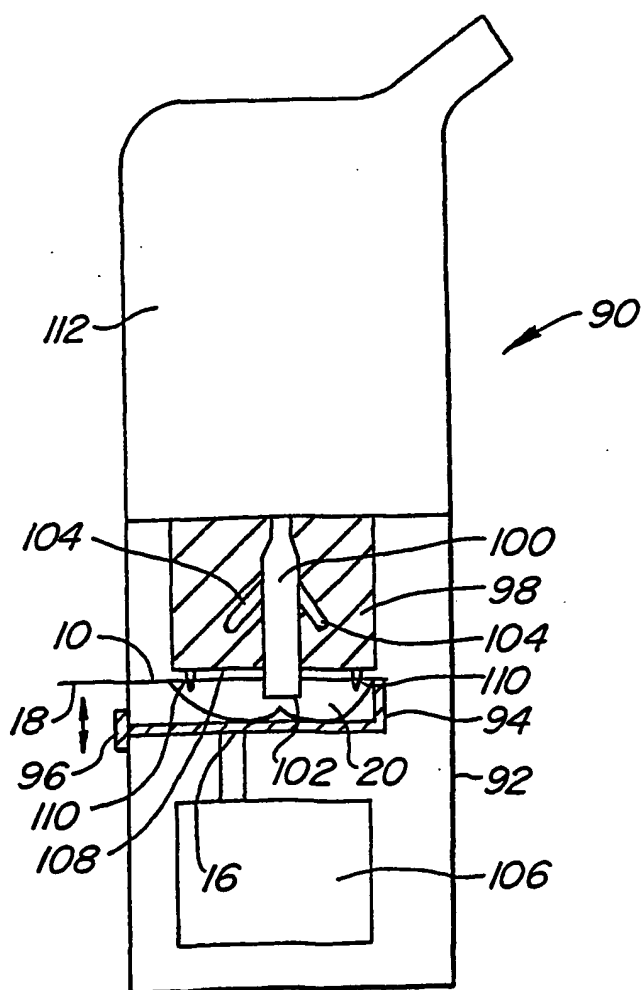


FIG. 10.

4/6

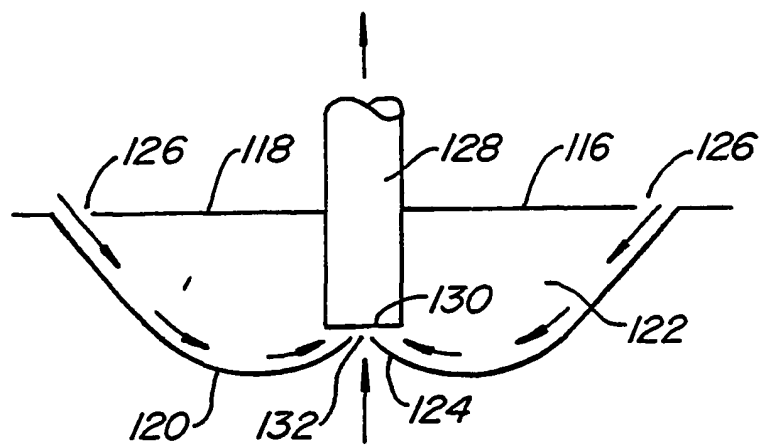


FIG. 11.

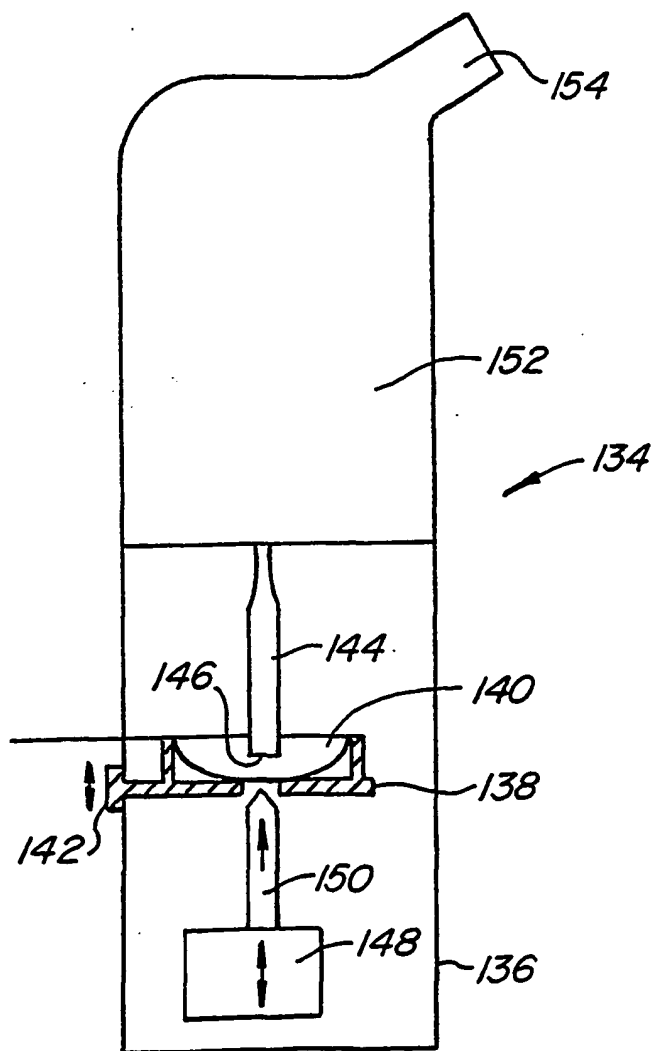


FIG. 12.

5/6

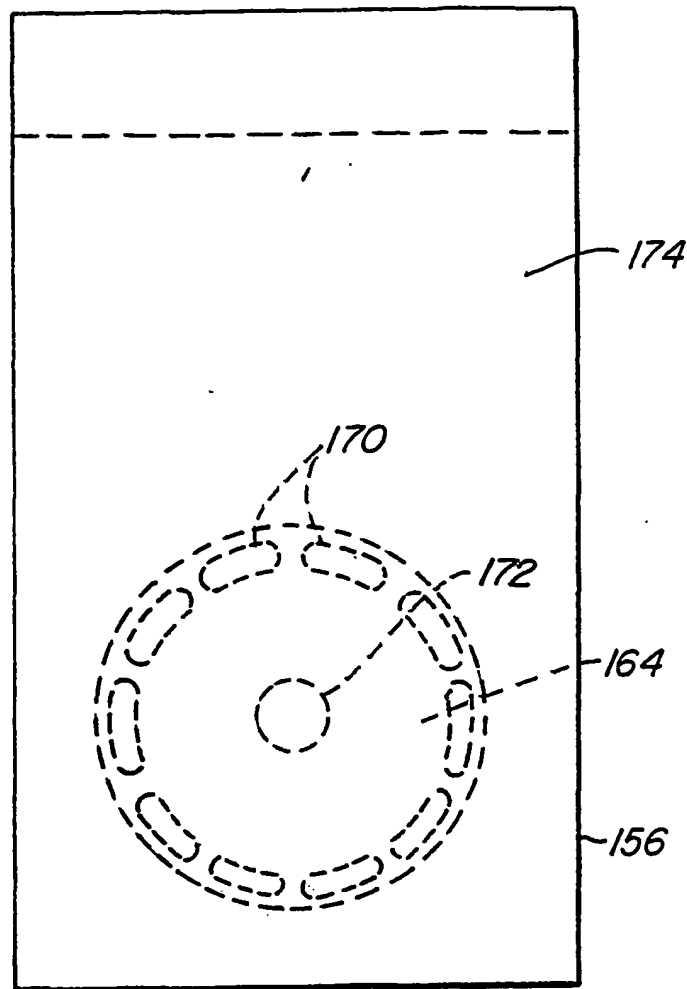


FIG. 13.

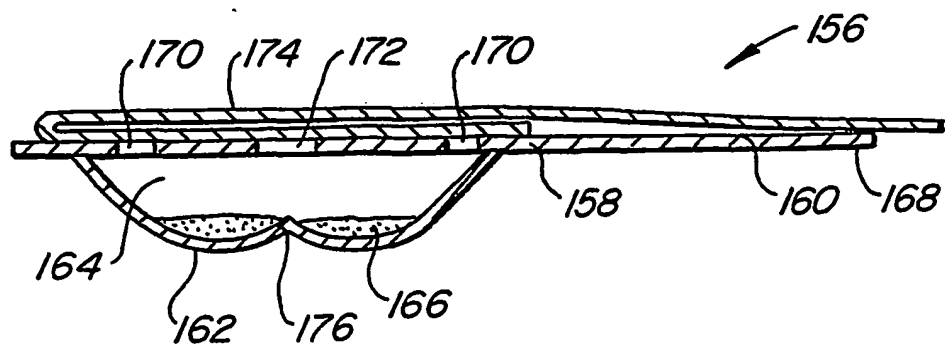
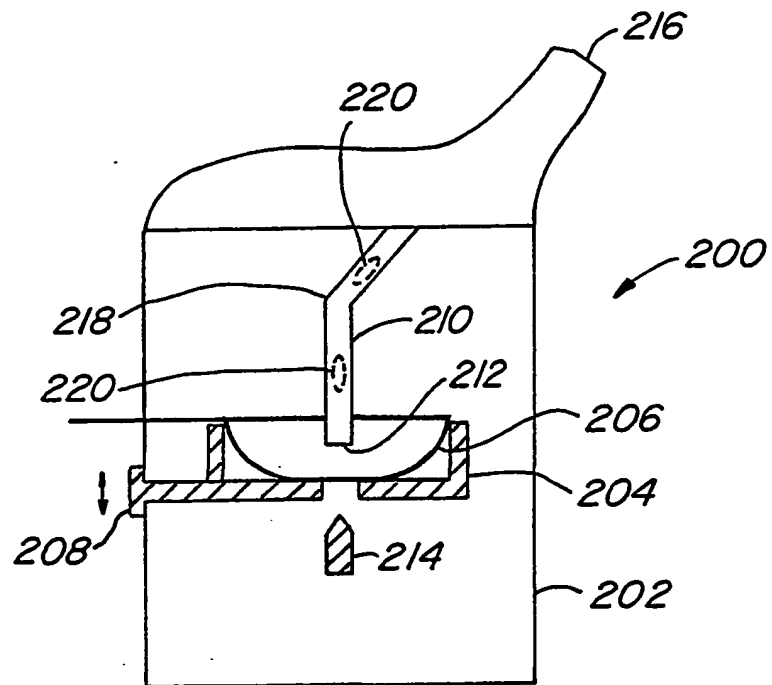


FIG. 14.

6/6

**FIG. 15.**

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 00/34037

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61M15/00 A61M16/00 A61M11/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61M B05D B65D B05B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 239 991 A (CHAWLA BRINDRA P S ET AL) 31 August 1993 (1993-08-31) abstract; figures column 1, line 65 -column 2, line 46 column 3, line 4-8 column 3, line 42 -column 4, line 8	1-5
A	DE 197 57 208 A (SCHUCKMANN ALFRED VON) 24 June 1999 (1999-06-24)  abstract; figures column 4, line 15-55 column 6, line 46-52	1-5, 13-20, 22,31-34
A	EP 0 481 666 A (MERCK & CO INC) 22 April 1992 (1992-04-22) abstract; figures	1-5



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

4 July 2001

Date of mailing of the international search report

27/07/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Lager, J

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 00/34037

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 34661 A (ASTRA AB ; JAHNSSON MAGNUS (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 7, line 14 -page 8, line 14 _____	1-5, 13-20, 22, 31-34
A	WO 98 34663 A (WIDERSTROEM CARIN ; ASTRA AB (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 2, line 1-25 / page 6, line 9-26 _____	1-5, 13-20, 22, 31-34
A	WO 98 34664 A (WIDERSTROEM CARIN ; ASTRA AB (SE)) 13 August 1998 (1998-08-13)  abstract; figures page 1, line 20 -page 2, line 18 _____	1-5, 13-20, 22, 31-34

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.1

With the letter of 08.06.2001 the applicant requested that only claims 1-5, 13-22 and 31-34 should be searched.

---

## Continuation of Box I.1

Claims Nos.: 6-12,21,23-30,35-37

## Regarding Claims 21:

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy.

---

## Continuation of Box I.2

Claims Nos.: 6-12,21,23-30,35-37

With the letter of 08.06.2001 the applicant requested that only claims 1-5, 13-22 and 31-34 should be searched.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/34037

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5239991	A	31-08-1993	AT 93151 T	15-09-1993
			CA 2019385 A	21-12-1990
			DE 69002800 D	23-09-1993
			DE 69002800 T	23-12-1993
			DK 404454 T	20-12-1993
			EP 0404454 A	27-12-1990
			ES 2043285 T	16-12-1993
			FI 101858 B	15-09-1998
			IE 902236 A	13-02-1991
			JP 2927506 B	28-07-1999
			JP 3037077 A	18-02-1991
			NO 300875 B	11-08-1997
			PT 94442 A, B	08-02-1991
DE 19757208	A	24-06-1999	AU 2163299 A	12-07-1999
			AU 2513999 A	12-07-1999
			AU 3139499 A	12-07-1999
			BR 9814431 A	10-10-2000
			CN 1284890 T	21-02-2001
			CN 1286638 T	07-03-2001
			CN 1284891 T	21-02-2001
			WO 9932179 A	01-07-1999
			WO 9932180 A	01-07-1999
			WO 9931952 A	01-07-1999
			EP 1045711 A	25-10-2000
			EP 1049504 A	08-11-2000
			EP 1042027 A	11-10-2000
			NO 20003180 A	21-08-2000
			NO 20003181 A	18-08-2000
			NO 20003182 A	21-08-2000
			SK 9542000 A	07-11-2000
			SK 9552000 A	07-11-2000
			SK 9562000 A	07-11-2000
			TR 200001862 T	22-01-2001
			TR 200001984 T	22-01-2001
EP 0481666	A	22-04-1992	US 5042472 A	27-08-1991
			CA 2052890 A	16-04-1992
			JP 1867595 C	26-08-1994
			JP 4259471 A	16-09-1992
			JP 5077432 B	26-10-1993
WO 9834661	A	13-08-1998	AU 718386 B	13-04-2000
			AU 6008898 A	26-08-1998
			EP 0971763 A	19-01-2000
WO 9834663	A	13-08-1998	AU 6009098 A	26-08-1998
			EP 0973570 A	26-01-2000
WO 9834664	A	13-08-1998	AU 5889398 A	26-08-1998
			EP 0973569 A	26-01-2000